RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY REGULATIONS GOVERNING THE PREQUALIFICATION OF CONTRACTORS

Section 1. Definitions

1.1 Application - an Application for Prequalification.

1.2 Authority - The Rhode Island
Turnpike and Bridge

Authority

1.3 Contractor any individual or entity that proposes to perform one or more category of Work for the Authority.

1.4 List - a list of Contractors prequalified to perform one or more category of Work for the Authority,

1.5 Work - includes the following categories of work to be performed by a Contractor for the Authority:

a. Asbestos removal

b. Bridge construction

c. Bridge maintenance

d. Crack sealing

e. Demolition

f. Drilling & boring

g. Guardrail & fencing

h. Hazardous waste remediation

i. Highway construction

j. Highway maintenance

k. Highway sweeping

1. Landscaping

- m. Lighting & electrical
- n. Marine construction
- o. Mowing and spraying
- p. Painting (structural)
- q. Pavement marking
- r. Sewer & water
- s. Structural signing
- t. Surfacing
- u. Toll collection systems
- v. Traffic signals
- w. Underground tank removal
- x. Utilities

Section 2. Authority for Promulgation of Regulations

These regulations are promulgated by the Authority

pursuant to and in accordance with Rhode Island General Laws

Section 37-2-25.

Section 3. Prequalification List

- 3.1 The Authority may, from time to time, maintain a
 List of Contractors prequalified to perform one or more category
 of Work for the Authority, such List to be available for public
 inspection at the offices of the Authority during normal business
 hours.
- 3.2 Inclusion on the List shall be at the discretion of the Executive Director of the Authority at the recommendation of the Director of Engineering of the Authority.

Section 4. Prequalification Application

4.1 A Contractor may apply for prequalification with the Authority by submitting an Application, such form of Application to be provided by the Authority and may be changed from time to time by the Authority.

4.2 The Application may request (but shall not be limited to requesting) the following types of information from the Contractor:

- 4.2.1 proposed class(es) of work to be performed by the Contractor;
- 4.2.2 corporate/partnership status;
- 4.2.3 surety/bonding information;
- 4.2.4 financial statements;
- 4.2.5 project references / past performance;
- 4.2.6 litigation history;

- 4.2.8 technical qualifications / capabilities;
- 4.2.9 project staffing sources;
- 4.2.10 training programs;
- 4.2.11 history of legal compliance; and
- 4.2.12 equipment list.
- 4.3 Any Application submitted by a Contractor shall be signed by a responsible officer of the Contractor under the pains and penalties of perjury.
- 4.4 Any Contractor that submits false information as part of an Application may, as the discretion of the Authority, be debarred from performing work for the Authority for a period of time determined by the Authority. In any such case, the Authority may impose other sanctions against such a Contractor, including, without limitation, a declaration by the Authority that any current contracts with such Contractor are deemed null and void. For purposes of this section, "false information" shall mean information that a contractor knew to be false, or information that a Contractor submitted with reckless disregard to its truth or falsity.
- 4.5 Any information provided by a Contractor to the Authority as part of an Application shall be held confidential by the Authority to the extent such confidentiality is not contrary to any applicable law or regulation.
- 4.6 The Authority may reject an Application for prequalification due to incompleteness.

- 4.7 Any Contractor refused prequalification status by the Authority may not re-apply for such prequalification for a period of at least one (1) year unless such Contractor demonstrates a substantial change in circumstances that would affect its application for prequalification.
- 4.8 Any Contractor refused prequalification status may appeal such decision of the Executive Director to the Board of

 Directors of the Authority and such decision of the Board of

 Directors shall be final.
- shall have the obligation to immediately notify the Authority regarding any material change related to information set forth on the Application or otherwise related to the Contractor's responsibility, capacity, financial status and/or qualifications to perform Work for the Authority. Any Contractor granted prequalification status shall have the obligation, on an annual basis, to update the information provided to the Authority in its Application.

Section 5. Effect of Prequalification

- 5.1 Solicitation mailing lists of potential

 Contractors of certain Work shall include, but need not be

 limited to, prequalified Contractors for such Work.
- 5.2 Prequalification of a Contractor for certain Work shall not preclude a finding by the Authority that such Contractor is not qualified to perform certain Work in a particular instance.
- 5.3 Failure to be included on the List for certain
 Work shall not preclude a Contractor from proposing to perform
 such Work in a particular instance nor shall it preclude a
 finding by the Authority that such Contractor is qualified to
 perform such Work in a particular instance. However, in the
 event the Authority elects to consider awarding Work to a
 Contractor that is not on a List, the Authority shall require
 such Contractor, prior to contract award for the Work, to
 complete an Application, and shall, prior to any such award, make
 a determination that the Contractor is a qualified and
 responsible Contractor pursuant to these regulations.

855-RICR-XXX-XX-2418 TITLE 855 - TURNPIKE AND BRIDGE AUTHORITY CHAPTER XXX - OLD REGULATIONS WHICH WERE NOT ASSIGNED CHAPTER-SUBCHAP-PART SUBCHAPTER XX - OLD REGULATIONS WHICH WERE NOT ASSIGNED CHAPTER-SUBCHAP-PART

PART 2418 - REGULATIONS GOVERNING PREQUALIFICATION OF CONTRACTORS

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