State of Rhode Island and Providence Plantations DEPARTMENT OF BUSINESS REGULATION

Division of Commercial Licensing and Gaming and Athletics 1511 Pontiac Avenue, Bldg. 69-1 Cranston, Rhode Island 02920

Notice of the Publication of a Proposed Direct Final Rule

Pursuant to the provisions of chapter 41-3.1 of the Rhode Island General Laws, and in accordance with the Administrative Procedures Act ("APA"), Chapter 42-35 of the Rhode Island General Laws, and specifically R.I. Gen. Laws § 42-35-2.11 of the amended APA, the Department of Business Regulation ("DBR") hereby proposes to repeal Racing and Athletics Regulation 2 – Greyhound Racing. DBR is taking this action because the department is attempting to repeal regulations that are no longer relevant and not necessary. As of August 2009, Greyhound Racing ceased in Rhode Island and will no longer take place in the future. DBR believes that this proposed action is noncontroversial and anticipates that no objection will be received to this proposed repeal.

Pursuant to the requirements of R.I. Gen. Laws § 42-35-2.6 and § 42-35-2.7, DBR has made the following determinations. DBR has considered alternative approaches to the proposed repeal and has determined that there is no alternative approach among the alternatives considered that would be as effective and less burdensome. DBR has determined that the proposed repeal is necessary because Greyhound Racing is no longer taking place in the State of Rhode Island, having been repealed by 2010 R.I. Pub. Laws ch. 13. Therefore, the regulation is no longer required to implement the provisions of chapter 41-3.1 of the Rhode Island General Laws.

A copy of the repealed regulation will be available for examination from May 16, 2017, through June 17, 2017, by mail or at the offices of the Department of Business Regulation, 1511 Pontiac Avenue, Cranston, Rhode Island 02920, or requested by email maria.dalessandro@dbr.ri.gov or by calling Maria D'Alessandro at (401) 462- 9581. Electronic copies of the proposed repeal will also be available on the DBR website at www.dbr.ri.gov.

DBR encourages the submission of written objections to the proposed repeal of Racing and Athletics Regulation 2. If no objection is received on or before June 17, 2017, DBR will file the repeal without opportunity for public comment and the repeal will be effective on June 17, 2017. All written objections should be sent to the Department of Business Regulation, 1511 Pontiac Avenue, Cranston, Rhode Island 02920, or requested by email maria.dalessandro@dbr.ri.gov and must be received no later than 11:59 PM on June 17, 2017.

Scottye Lindsey
Director, Department of Business Regulation

Posted: May 16, 2017

State of Rhode Island and Providence Plantations DEPARTMENT OF BUSINESS REGULATION

Racing and Athletics Division 233 Richmond Street Providence, RI 02903

RACING AND ATHLETICS REGULATION 2 GREYHOUND RACING

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Section 1 Forward to the Rules of Greyhound Racing

The Rhode Island Racing and Athletics commission here and after referred to as the Commission shall have full power to promulgate the rules and regulations under which Dog Racing and Dog Racing Meets shall be conducted within the State of Rhode Island.

The Rules of Greyhound Racing have been published in their present form for purposes of ease in handling, readability and distribution.

For the purposes of uniformity in rules with other states where dog racing is legalized, wherever the word "Greyhound" appears in the title, foreword or in any rule it shall have the same meaning as the word "Dog" as appearing in R.I. Gen. Laws § 41-3.1-1.

Rules of Greyhound racing as herein prescribed apply to all persons or individuals, Associations or corporations which shall hold or conduct any dog races and dog racing Meetings within the State of Rhode Island, licensed by the Commission where dog races shall be permitted for any stake, purse or reward and the definitions here given are to be considered in connection with the Rules of Greyhound racing and as a part of them.

All Licensees and participants are charged with knowledge of the Rules and regulations of this Commission. No Licensee or other persons shall engage in his or her occupation or trade at any Rhode Island Dog Race Track without first reading the Rules and regulations of the Commission.

Should any question arise as to the meaning of any Rule or regulation, the Commission or its representatives will be available to provide an explanation.

The Rules shall also apply to any participant in or patron of any such licensed Meeting.

In reading the Rules, unless the text otherwise requires, it shall be understood without constant reference thereto, that they apply only in the State of Rhode Island.

Every license to hold a Meeting is granted upon the condition that the Association shall accept, observe and enforce said Rules. Furthermore, it shall be the duty of each and every officer, director and every official and employee of said Association to observe and enforce the Rules.

Any and all of the Rules may be amended, altered, repealed or supplemented by new or additional Rules, subject to the Administrative Procedure Act.

The Commission may make exceptions to any rule or Rules in individual instances as in its judgement it any deem proper.

The Commission may alter, amend or modify any penalty or decision or infraction of the Rules imposed or made by it or its predecessors, except as otherwise provided by law.

The Laws of the State of Rhode Island and the Rules promulgated by the Commission supercede the conditions of a Race or the regulations of a racing Meeting.

The Rules as promulgated by the Commission are supplemented by the State Administrative Procedure Law found in R.I. Gen. Laws § 42–35–3. This act provided the procedures which must be followed by all state agencies on such matters as the amending process and the adjudicatory procedure. Under this act any interested party has the right to attend all hearing conducted by the Commission for the purpose of the adoption or amendment of any rule or regulation. The Commission shall afford any interested person and opportunity to present data, views or arguments in regard to any proposed rule change. Upon written notice to the Commission, a person may request the adoption, amendment or repeal of any regulation with an opportunity to present date, views or arguments in support of such request.

If a dispute should arise concerning a ruling by a Judge or other racing official, any party affected by such ruling has a right to an appeal to the Commission upon written notice to the Commission. At such hearing each party shall be given an opportunity to be represented by an attorney, to call and examine witnesses, to introduce exhibits, to cross-examine witnesses who testify and to submit rebuttal evidence.

The Commission shall make available upon request an official record of the hearing and a party may request and receive a transcript of such record upon payment to the Commission of the /cost of such transcript. The Commission shall provide in writing, its decision along with findings of fact and conclusions of the law.

Any person grieved by a formal decision by the Commission shall be entitled to judicial review of such decision in compliance with the Administrative Procedure Act of the State of Rhode Island.

Section 2 Definitions

The following definitions and interpretations shall apply in the Rules unless text otherwise requires:

- 1. ADDED MONEY A sum by which the established purse is increased.
- 2. AGE The age of a Greyhound is reckoned as beginning on the Day it was whelped.
- 3. ASSOCIATION Person or persons, or body corporate, conducting a Recognized Meeting in Rhode Island.
- 4. AUTHORIZED AGENT An individual appointed by a written instrument signed by the Owner and filed in accordance with the Rules of Greyhound Racing. No individual shall be an Authorized Agent for more than one (1) Owner.
- 5. BREEDER of a Greyhound is the Owner or Lessee of his dam at the time of whelping.
- 6. BREEDING PLACE means the location of whelping.
- 7. DAY means twenty-four (24) hours ending at midnight.
- 8. COMMISSION means the Rhode Island Racing and Athletics Commission.
- 9. DECLARATION means the act of withdrawing an entered Greyhound from a Race at least one half (½) hour before the time for the drawing of Post Positions for the Race it. which said Greyhound is entered.
- 10. DIRECTOR OF RACING—shall have general supervision over Owners, Trainers, grooms, other persons attendant on Greyhounds and also over all other officials of the Meeting.
- 11. EQUIPMENT—as applied to a Greyhound means muzzles, number blankets and all other paraphernalia common or otherwise which might be used on or attached to a Greyhound while racing.
- 12. ESTABLISHED WEIGHT means the racing weight established by the Owner or Trainer as the Greyhound's best racing weight.
- 13. FORFEIT shall mean money due but lost because of an error, fault, neglect of duty, breach of contract or penalty.
- 14. GREYHOUND—shall mean a Greyhound registered with the National Greyhound Association.
- 15. KENNEL NAME shall be any type of name other than the legal name or names of the Owners. If a corporation is involved in the identity behind a kennel name, the licensing Rules covering corporations must be complied with.
- 16. LAW OR LAWS shall mean Title 41 of the Rhode Island General Laws together with any and all amendments thereto.
- 17. LAWFUL AUTHORITY shall mean any court of competent jurisdiction.
- 18. LEAD OUT is the attendant that handles the Greyhounds while enroute to the starting box.

- 19. LESSEE a person who holds a registered Lease Certificate for the racing of a Greyhound in his name.
- 20. LEASE CERTIFICATE the Lease Certificate shall show all of the information on the original registration certificate plus the name of the Lessee and the terms and purpose of the lease.
- 21. LICENSEE shall mean any person granted an occupational license in the connection with Greyhound racing issued by the Rhode Island Racing and Athletics Commission.
- 22. LURE shall moan a mechanical apparatus consisting of the following component parts: A stationary rail installed around the track; a motorized mechanism which travels on the rail, a pole which is attached to the mechanism and extends out over the track; and a reasonable facsimile of a rabbit which shall be attached to the pole.
- 23. MAIDEN is a Greyhound which has never, in any country, won an official race.
 Conditions referring to a Maiden shall mean Maidens at the time of starting. A
 Maiden which has been disqualified after finishing first is still to be considered a
 Maiden.
- 24. MATINEE means a schedule of races conducted upon a Race Track in daylight hours.
- 25. MEETING is an entire consecutive period for which license to conduct Greyhound racing has been granted by the Commission to any one Association.
- 26. MONTH means a calendar Month.
- 27. NIGHT PERFORMANCE means a schedule of races conducted upon a Race Track during night hours.
- 28. OWNER means the person in whose name the Greyhound is registered at the meet in accordance with the Rules and may be the sole Owner, part Owner, Lessee, joint venturers or financial agent. An interest in the winnings only of a Greyhound does not constitute part ownership.
- 29. PLACE shall mean First, Second or Third; and in that order is called Win, Place and Show.
- 30. POST POSITION means the position assigned to a Greyhound for the start of the race.
- 31. POST TIME the time set for the release of the Greyhounds in a Race from the starting box.
- 32. PROGRAM is a schedule of races of either a Matinee or Night Performance conducted in any racing Day.
- 33. RACE TRACK means the entire area licensed to the Permitee.
- 34. RACE is a contest for purse, stakes or entry fees on any course and in the presence

of duly appointed racing officials.

- (a) HURDLE RACE is a Race over a course in which jumps or hurdles are used.
- (b) OVERNIGHT RACE means a Race for which entries close seventy-two (72) hours, or less, before the time set for the first Race of the Day on which such Race is to be run.
- (c) PURSE RACE—is a Race for money or other prizes for which the entrance money, if any, must be paid and every other condition complied with at the time of closing entries.
- (d) RACE ON THE FLAT is a Race over a course in which no jumps or other obstacles are placed.
- (e) SWEEPSTAKES OR STAKES—is a Race publicly declared open to all complying with its conditions to be fulfilled wholly or in part subsequent to its closing and in which required fees are to be paid for each Greyhound engaged. No overnight event, whatever may be its conditions, shall be considered a sweepstakes within the meaning of this rule.
- 35. RECOGNIZED MEETING any racing Meeting given by an Association in good standing within the enclosure of any Race Track licensed and conducted under the sanction of Law and the Rules and regulations of the duly appointed Commission.
- 36. RULE OFF shall mean the act of barring from the grounds of an Association and denying all racing privileges.
- 37. RULES shall mean the Rules and Regulations herein prescribed and any amendments or additions thereto.
- 38. SCRATCH shall mean the act of withdrawing an entered Greyhound from a Race after the drawing for the Post Positions in that Race has been completed.
- 39. STARTER a Greyhound is a Starter for the Race when the doors of the starting box open.
- 40. SUBSCRIPTION means the act of nominating to a stake race.
- 41. SUSPENDED shall mean that any privilege granted by the officials of a racing Meeting or by the Commission to a person licensed by the Commission has been withdrawn.
- 42. TOTE AND TOTE BOARD shall-mean the totalizator system.
- 43. TRAINER—a person employed by an Owner to condition Greyhounds for racing.
- 44. WEIGHING IN the weight of the Greyhound taken at first Weighing In, in accordance with the Rules.
- 45. WEIGHING OUT the weight of the Greyhound previous to Post Time or time of the Race in which it is entered.

- 46. WEIGHT LOSER means a Greyhound recognized by the officials known to be a consistent weight loser while in the lockout kennel.
- 47. WHELPED a Greyhound is whelped at the time of its birth.
- 48. YEAR means a calendar year.

Section 3 Appeal to the Commission

- 1. An appeal from the decision of the Judges, in case of suspension or other penalties imposed on persons licensed by the Commission, may be taken to the Commission, which may either sustain the ruling of the Judges, increase or decrease the penalty or reinstate said offender.
- 2. An appeal from the decision of the Judges shall be filed in writing at the office of the Commission within six (6) Days of the date of said penalty or imposition of said discipline.
- 3. The appeal shall be signed by the person making it or his attorney, and must set forth his reason for believing he is entitled to a hearing.
- 4. An applicant for a hearing on an appeal will be heard in person and may be represented by counsel.
- 5. All requests to the Commission in connection with an appeal shall be in writing and all papers filed with the Commission shall be the property of the Commission.
- 6. An appeal to the Commission from a decision of the Judges shall not affect such decision until the appeal has been acted upon by the Commission unless otherwise ordered by a court of competent jurisdiction.
- 7. When a hearing is to be held by the Commission, or when a matter or case is referred to the Commission for review, the person or persons involved must be notified by the Commission and if the person or persons fail to appear it shall be construed as a waiver of right to a hearing before the Commission.

Section 4 Kennel Names

- 1. A licensed Owner wishing to race under a Kennel Name may do so by registering for the racing season with the Commission and by paying the prescribed fee.
- A Trainer, who is also a licensed Owner or part Owner, may use a Kennel Name as
 Owner or part Owner. However, no Trainer may be licensed as Trainer other than in
 his legal name.
- 3. In applying to race under a Kennel Name the applicant must disclose the identity or identities behind a Kennel Name.
- 4. If a partnership is involved in the identity behind a Kennel Name, each of the partners must be licensed as Owner and the Rules covering partnerships must be complied

with.

- 5. If a corporation is involved in the identity behind a Kennel Name, the Rules covering corporations must be complied with.
- 6. Changes in identities involved in a Kennel Name must be reported immediately to and approval obtained from the Commission.
- 7. A licensed Owner cannot be a party to more than one Kennel Name at the same time, nor can he use his real name for racing purposes, so long as he has a registered one.
- 8. A licensed Owner who has registered under a Kennel Name may at any time abandon it, after he has given written notice to the Commission.
- 9. A Kennel Name may be changed at any time by registering a new Kennel Name and by paying the prescribed fee.
- 10. A licensed Owner cannot register as his Kennel Name one which is then registered by any other Owner.
- 11. A licensed Owner cannot register as his Kennel Name one which is the real name of any Owner of Greyhounds racing nor one which is the real or Kennel Name of any prominent person not owning Greyhounds.
- 12. A Kennel Name shall be plainly distinguishable from that of another duly registered Kennel Name.
- 13. The Commission reserves the right to refuse any corporation the privilege of registering a Kennel Name.

Section 5 Authorized Agent

- Each Authorized Agent must obtain a license from the Commission.
- 2. A written instrument signed by the Owner shall accompany the application which shall clearly set forth among the delegated powers whether or not said agent is empowered to collect money from the Association.
- 3. If the written instrument is a power of attorney it shall be filed permanently with the Racing Secretary. If however, the powers are properly delegated by the Owner on the application for a license, then said application shall be in duplicate with both copies signed and sworn to before a Notary Public and one copy filed permanently with the Racing Secretary.
- 4. An Authorized Agent may appoint a sub-agent only when specifically authorized so to do by the written instrument signed by the Owner and, to be effective, notice of such appointment must be given immediately in writing to the Commission and the Racing Secretary.
- 5. Any changes in the power delegated by the Owner to the Authorized Agent must be in writing, sworn to before a Notary Public and filed with the Commission and the Racing Secretary.

- 6. An Owner's revocation of an Authorized Agent's authority must be in writing, sworn to before a Notary Public and filed with the Commission and Racing Secretary.
- 7. The term of the Authorized Agent's license shall be the racing season unless the agent's appointment is revoked by the Owner or the license is revoked by the Commission.

Section 6 Chart Writer Duties

- 1. The Chart Writer shall compile the information necessary for a Program which shall be printed for each racing Day and shall contain the names of the Greyhounds that are to run in each of the races for that Day. These names shall appear in the order of their Post Positions; the said Post Positions to be designated by numerals placed at the left and in lines with the names of the Greyhounds in each Race, which numerals shall also be prominently displayed on each Greyhound.
- 2. Program or form sheet must carry at least two (2) past performances of said Greyhound at the track where it is to race. However, if a Greyhound has raced within ten (10) racing Days at a track under the jurisdiction of the Commission, not less than two (2) past performances of said Greyhound at said track may be carried on the Program or form sheet.
- 3. All past performances as shown in the Program shall be in order of the races or official schoolings held; the last performance appearing on the first line, etc.
- 4. Program or form sheet must also contain name, color, sex, date of Whelping, breeding, Established racing Weight, number of starts in official Races and number of times finishing first, second and third, name of Owner and/or Lessee, name of Trainer, distance of Race, track record and such other information as will enable the public to properly judge the Greyhound's ability.
- 5. In case the name of a Greyhound is changed, the new name, together with the former name, shall be published in the official entries and Program until after the Greyhound has started six (6) times.

Section 7 Clerk of Scales-Duties

- 1. The Clerk of Scales shall weigh Greyhounds in and out on a scale sealed by the duly authorized Sealer of Weights and Measures and shall exhibit the accurate weight of each Greyhound on the weight board. The Established racing Weight. Weigh In and Weighing Out weight shall be promptly posted on the weight board for the information of the public.
- 2. The Clerk of Scales shall record as soon as the weights are exhibited, any overweight or variation from the weight appearing on the weight sheet.
- 3. The Clerk of Scales shall deliver to the Commission Judge a copy of the weight sheet before each Day's race.
- 4. All Greyhounds must be Weighed In and Weighed Out in a uniform manner.
- 5. The Clerk of Scales shall promptly report to the Judges any infraction of the Rules as

to weight or weighing.

Section 8 Corrupt Practices

- 1. (a) If any person found guilty by the Commission or other Lawful Authority of giving, offering or promising directly or indirectly, any bribe in any form to any person having official duties in relation to any Race or racing Greyhound, or in relation to any to any Trainer or agent, or to any person having charge of or access to any racing Greyhound; OR:
 - (b) If any person having official duties in relation to a race, or if any Trainer, agent or other person having charge of or access to any racing Greyhound accepts, or offers to accept any bribe in any form: OR:
 - (c) If any person willfully enters, or causes to be entered or starts in any race, a Greyhound which he knows or believes to be disqualified: OR:
 - (d) If any person be guilty of or shall conspire with any other person for the commission of or shall connive with any person being guilty of any corrupt or fraudulent practices in relation to racing in this or any other state or country: OR:
 - (e) If any person fraudulently offers or receives any amount of money or other consideration for Declaring an entry out of purse or stake: OR:
 - (f) If any person be guilty of other corrupt or fraudulent practices on any track in Rhode Island, or on any track in this or any other country:
 - (g) Then such person or persons found guilty by the Commission or other Lawful Authority of any violation of any provision of this rule shall be Ruled Off for a period of time to be determined by the Commission and/or the license of such guilty party revoked.
- 2. No person shall tamper or attempt to tamper with any Greyhound in such a way as to affect its speed in a Race, nor shall he counsel or in any way aid or abet such tampering.
- 3. Any Greyhound that has been the subject of corrupt practices may be disqualified by the Judges of the Meeting for no longer period than the duration of the Meeting and they shall report the circumstances to the Commission for such action as it may deem proper.
- 4. The Judges shall have the power to declare any act which they deem to be an unfair advantage to any or all persons engaged in the sport of racing in any way; or any act which would tend to mislead the betting public; or any act which they deem to be detrimental to the best interest of racing a corrupt practice and shall have power to impose fitting punitive action and such action shall be referred to the Commission.
- 5. No licensed Association, Race Track operator, their immediate family or business partners having an interest in a dog track, will be permitted to have any interest in the Greyhounds racing for said Association.

Section 9 Dead Heats

- 1. When Greyhounds run a dead heat for first Place, all monies and prizes to which such Greyhounds would have been entitled shall be divided equally between them and this applies in dividing prizes whatever the number or Greyhounds running a dead heat. Each Greyhound shall be deemed a winner.
- 2. Likewise, when Greyhounds run a dead heat for second Place, they shall divide the second and third monies.
- 3. When Greyhounds run a dead heat for third Place, they shall divide the third and fourth monies.
- 4. If the dividing Owners cannot agree as to which of them is to have a cup or other prize which cannot be divided, the question shall be determined by lot in the presence of one or more of the Judges.

Section 10 Declarations

- 1. The Declaration of a Greyhound out of an engagement is irrevocable.
- Declarations in Sweepstakes shall be made in the same manner as is provided for making entries therein to the Racing Secretary who shall record the Day and hour of receipt and give early publicity thereto.
- 3. Declarations in Purse Races must be made by the Owner, Trainer, or Authorized Agent to the Racing Secretary or his assistant at least one half hour before the time designated for the drawing of Post Positions on the Day previous to the Day on which the Greyhound is to race, or at such time as the Racing Secretary may appoint.

Section 11 Entries

- 1. Every person who enters a Greyhound, or in any way participates in any Race or racing under these Rules thereby obligates himself to accept these Rules upon all questions relating thereto.
- 2. For all Races the Racing Secretary is the person authorized to receive entries and Declarations.
- 3. Every entry in a Race must be in the name of the registered Owner, Lessee, or his Kennel Name and must be made in writing or by telephone immediately confirmed in writing. The full name of every person having an Ownership in a Greyhound or accepting the Trainer's percentage, or having any interest in its winnings, must be registered with the Racing Secretary before it starts at any Meeting, as must every change in such Ownership or interest, thereafter made during that Meeting and a copy thereof be promptly delivered to the Commission by the Racing Secretary of the track where the Greyhound is racing. Any failure to comply with this rule shall be punished by a fine or suspension or both, and if any objection because of such default is duly made and sustained against a Greyhound that has run in a race, its winnings in that Race shall be Forfeited and the purse redistributed by the Judges. Such redistribution

shall in no way affect the pari-mutuel pay-off for the race.

- 4. A Greyhound shall not be qualified to run in any Race unless it has been and continues duly entered for the same; and unless otherwise specified by the conditions of a Race, or disqualified by violation of racing Rules, any Greyhound eligible at the time of entry shall continue to be qualified, except in an overnight event, in which it must be eligible at the time of the start.
- 5. The entrance to a Race shall be free unless otherwise stipulated in its conditions. If the conditions require an entrance fee, it must accompany the entry.
- 6. Any person having an interest in a Greyhound less than the interest or property of any other person is not entitled to assume any of the rights or duties of an Owner as provided by these Rules including the right of entry, Declaration, etc.
- 7. Joint Subscriptions and entries may be made by any one or more of the Owners.

 However, all partners and each of them shall be jointly and severally liable for all fees and Forfeits.
- 8. The racing officials shall have the right to call on any person in whose name a Greyhound is entered to produce proof that the Greyhound entered is not the property either wholly or in part of any person who is disqualified, or to produce proof of the extent of his interest or property in the Greyhound and failing of such proof shall declare the Greyhound out of the Race.
- 9. No Greyhound shall be permitted to start that has not been fully identified.
- 10. Any person who knowingly attempts to establish the identity of a Greyhound or his Ownership shall be held to account the same as the Owner and shall be subject to the same penalty in case of fraud or attempted fraud.
- 11. No disqualified Greyhound shall be allowed to enter or to start in any Race.
- 12. A Greyhound shall not be qualified to be entered or to start in any Race if owned in whole or in part or is under the control, directly or indirectly, of a disqualified person.
- 13. The entries of any person or the transfer of any entry may be refused with or without notice or reason being given therefor.
- 14. No Greyhound shall be permitted to enter or to start unless he is conditioned by a licensed Trainer.
- 15. No entry shall be accepted from husband or wife while either is disqualified.
- 16. No Greyhound, on the schooling list or the Veterinarian's list shall be qualified to enter or to start.
- 17. Entries which have closed shall be complied without delay by the Racing Secretary and conspicuously posted.
- 18. No alteration shall be made in any entry after closing of entries, but an error may be corrected.

- 19. In Purse Race, there shall be at least six (6) Greyhounds of completely different Ownership. No Trainer or Owner shall have more than two (2) Greyhounds in any Race excepting in stakes, sweepstakes or feature Race without the permission of the Commission. In all other Purse Races, the following conditions shall apply: no double entries shall be allowed until all single interests are used and double entries shall be uncoupled for wagering purposes; the Owner shall have agreed to a double entry; when no other single entry is available then the Racing Secretary may use a double entry without the permission of the Owner.
- 20 If an entry from any person or of any Greyhound that stands Suspended or expelled is received such entry shall be void and the money, if any, paid for such entry refunded. Any money or prize won under said entry shall be returned.
- 21. No Greyhound under the Age of fourteen (14) Months shall run in any official Race.
- 22. The Association shall have the right to withdraw or change any unclosed Race.
- 23. Entries for Stake Races, the condition of which have previously been published shall close at the time advertised in such publication, and no entry shall be received after that time, but in case Races fail to fill, additional time may be granted.
- 24. Every Greyhound entered for a purse must be a Starter unless it be declared or Scratched.
- 25. In the event the number of entries to any stake Race is in excess of the number of Greyhounds that may because of track limitations be permitted to start, the Starters for the Race shall be determined by the Racing Secretary.
- 26. An entry in a Wweepstakes is a Subscription and cannot be withdrawn.
- 27. A Greyhound shall not become a Starter for a Stake Race unless there has been duly paid any stake or entrance money payable in respect to that Race.
- 28. Entrance money is not refunded on the death of a Greyhound or his failure to start.
- 29. The nominator is liable for the entrance money or stake and the death of a Greyhound or a mistake in its entry when eligible, does not release the subscriber or transferee from liability for stakes.
- 30. In the absence of notice to the contrary, entrance and Declarations for Sweepstakes which close during or on the eve of a racing Meeting, close at the office of the Racing Secretary who shall make provisions therefor. Closing at all other times for Sweepstakes shall be at the office of the Association.
- When an hour for closing is designated entries and Declarations for Sweepstakes cannot be received afterwards; but if an hour is not designated, they may be mailed or telegraphed up to midnight of the Day of closing, provided they are received in time for compliance with every other condition of the race.
- 32. Nominations for Stake Races received and postmarked before midnight of the Day of closing shall be valid if received twenty four (24) hours in advance of closing overnight entries.

- 33. If a miscarriage of any entry or Declaration in a Stake is alleged, satisfactory proof that it was mailed or telegraphed must be presented within a reasonable time or it shall not be received.
- 34. A person entering a Greyhound thereby becomes liable for the entrance money or stake.
- 35. An entry of a Greyhound in a Sweepstake is a Subscription to the Sweepstakes and the subscriber is liable for stake and Forfeit, but should he transfer the entry he is liable only in cause of default to the transferee. Similarly, the seller of a Greyhound with engagements is liable for stake or Forfeit if the engagement is not kept.
- 36. A person making a wrong entry or nomination shall be liable for stake and Forfeits under the nomination.
- 37. The entrance money, starting and Subscription fees, in every Race shall go to the winner unless otherwise provided in the conditions of the Race, but when, from any cause, a Race is not run off, all stakes or entrance money, if any is paid, shall be refunded.
- When a person is prevented by these Rules from entering or starting a Greyhound for any Race without pay arrears for which he would not otherwise be liable, he may, by paying the same, enter or start the Greyhound and have the arrears placed on the Forfeit list as due to himself.
 - (a). If the seller of a Greyhound with engagements is compelled to pay arrears through the purchaser's default, he may place the amount on the Forfeit list as due from the purchaser to him. This rule shall also apply in the transfer of entries when the transferee defaults.
 - (b). The Racing Secretary, with the approval of the Judges, shall have full authority to waive the obligations incurred by this rule according to the circumstances of the case.
- 39. Any person not having money to his credit with the Association must, before his Greyhound can start, pay (in cash, if required) to the Association, all entrance money, stakes and arrears then due it or on the Greyhound he intends to start.
- 40. If a Greyhound is sold to a disqualified person said Greyhound's racing engagements shall be void as of the date of sale.
- 41. In case of fire or accident, or for other reasons, after due public notice, all Races or Stakes may be postponed or declared off, and when so declared off, all Subscriptions and Declaration money paid must be refunded.
- 42. If, as a direct result of death of a Greyhound participating in a Race, any entry fees paid in advance shall be refunded to the Owner of said deceased Greyhound.
- 43. Entry monies for Stake Races shall be published close at the time advertised in such publication and a detailed account of said monies be both as to the licensed Association's contribution and the nominator's fee shall be publicly posted.

Section 12 Fines and Suspensions

- 1. The Judges may suspend for no greater period than fifteen (15) Days beyond the close of the Meeting anyone whom they have authority to supervise, or they may impose a fine not exceeding \$500. They may also suspend any person declared guilty of any corrupt or fraudulent practices by Greyhound racing authorities of any other State or Judges of any Recognized Meeting. All such suspension and fines must be reported to the Commission. If the punishment so imposed is not, in the opinion of the Judges, sufficient, they shall so report to the Commission.
- 2. No racing official other than the Judges shall have the right to impose a fine or suspension.
- 3. A fine or suspension may not be rescinded by the Judges, except with the approval of the Commission.
- 4. All fines and suspensions imposed by the Judges shall be promptly reported to the Racing Secretary and Racing Commission in writing.
- 5. Suspension of a license shall extend to the spouse.
- 6. Fines must be paid within twenty-four (24) hours. Delinquents may be Suspended. All monies imposed as fines, except such fines as may be imposed on Association, shall be collected by a track official and shall be paid over directly by him to the Commission, together with a report covering the same.
- 7. When an Owner, Trainer, Greyhound or kennel of Greyhounds is Suspended by the Judges at one (1) track, such suspension shall immediately become effective on all other Greyhound track under the jurisdiction of the Commission until such time as the case in question is decided by the Commission.
- 8. The Commission shall have the power to alter, amend, or modify the suspension or fines imposed by the Judges, or to Rule Off the offenders from all Greyhound tracks under its supervision. The Commission shall also have the power to reinstate without fine or suspension.
- 9. A Greyhound or kennel under suspension by the Racing Commission of any other State or Country or by any recognized Association shall not be permitted to Race on any Rhode Island Greyhounds tracks where these Rules are in force during the continuance of such ruling.
- 10. The license of anyone Ruled Off of any Rhode Island track for fraud or fraudulent practices shall thereby be revoked.
- 11. The license of anyone Ruled Off of any Rhode Island track for violation of any of the Rules of racing may be revoked.
- 12. When a Licensee is Ruled Off for any fraudulent practice in relation to a particular Greyhound wholly or partly belonging to him, he shall return all money or prizes which such Greyhound has fraudulently won.
- 13. Every Licensee Ruled Off at a Recognized Meeting is ruled off wherever these Rules

have force.

- 14. When an Owner is Ruled Off a track or Suspended, every Greyhound owned in whole or in part by him shall be ineligible to be entered or to start in any Race until said Greyhound has been made eligible either by the reseinding of his Owner's penalty or his transfer through bona fide sale to an Ownership acceptable to the Judges.
- 15. When a Trainer is Ruled Off a track or Suspended, every Greyhound which is under his care, management, training or superintendence shall not be qualified to be entered or to start in any Race until said Greyhound has been made eligible by the rescinding of said Trainer's penalty or by the placement of the Greyhounds in the hands of a licensed Trainer with the approval of the transfer by the Judges.
- When a person is Ruled Off a track or Suspended, he shall not be qualified, whether acting as agent or otherwise to subscribe for or to enter or run any Greyhound in any Race either in his own name or in that of any other person until the rescinding of that person's penalty.

Section 13 Judges-Their Authority and Duties

- 1. There shall be three (3) Judges, namely a Presiding Judge, an Associate Judge and a State Judge. The Judge designated by the Association as "Presiding Judge' shall, in addition to his duties as a Judge, make out a report of any action of the Judges when a report is required under these Rules and he shall transmit said reports to the Commission. All such reports submitted shall be signed by a majority of the Judges.
- 2. The Laws of Rhode Island and the Rules of racing supersede the conditions of a Race and the regulations of a Race Meeting, and, in matters pertaining to racing, the orders of the Judges supercede the orders of the officers of the Association.
- 3. The Judges shall have the power to interpret the Rules and to decide all questions not specifically covered by them.
- 4. Should any case occur which may not be covered by the Rules of Racing here outlined, it shall be determined by the Judges in conformity with justice and in the best interest of racing.
- 5. All questions pertaining to which their authority extends shall be determined by a majority of the Judges.
- 6. The Judges shall have general supervision over Owners, Trainers, grooms and other persons attendant on Greyhounds and also over all the other officials and licensed personnel of the Meeting.
- 7. The Judges shall have control over and free access to all stands, weighing rooms, enclosures and all other places in use for the purpose of racing.
- 8. All entries and Declarations are under the supervision of the Judges and they may, without notice, refuse the entries of any person or the transfer of any entries.
- 9. The Judges shall have the power to determine all questions arising with reference to entries and racing.

- 10. Persons entering Greyhounds to run on licensed Rhode Island tracks agree in so doing to accept the decision of the Judges on any questions relating to a Race or racing.
- 11. The Judges shall have the power to punish for violation of the Rules any person subject to their control and in their discretion to impose fines or suspensions or both for infractions.
- 12. The Judges may suspend for no greater period than fifteen (15) Days beyond the close of the Meeting anyone whom they have authority to supervise, or they may impose a fine not exceeding \$500. They may also suspend any person declared guilty of any corrupt or fraudulent practice by Greyhound racing authorities of any other State or Judges of any Recognized Meeting. All such suspensions and fines must be reported to the Commission. If the punishment so imposed is not, in the opinion of the Judges, sufficient, they shall so report to the Commission.
- 13. The Judges may suspend a person or disqualify a Greyhound.
- 14. The Judges shall have the power to order the exclusion or ejection from all premises and enclosures of the Association any person who is disqualified for corrupt practices on a racing course in any country.
- 15. The Judges shall have the power to call for proof that a Greyhound is neither itself disqualified in any respect, nor nominated by, nor the property, wholly or in part, of a disqualified person, and in default of such proof being given to their satisfaction, they may declare the Greyhound disqualified.
- 16. The Judges shall have the power at any time, to order an examination by such person or persons, as they think fit, of any Greyhound entered for a Race or which has run in a race.
- 17. The Judges shall take notice of any questionable conduct with or without complaint thereof.
- 18. The Judges shall investigate promptly and render a decision on every objection and on every complaint made to them.
- 19. The Judges shall report all objections and complaints to the Commission as soon as received by them and shall make prompt report of their investigation and decision to said Commission.
- 20. Complaints against an official or officials shall be made to the Judges in writing, signed by the complainants. All such complaints shall be reported to the Commission together with action taken on them by the Judges. If the complaint involves the Judges, then it shall be made directly to the Commission.
- 21. The Judges shall order the exclusion from all places under their control, persons who are warned or Ruled Off. They may also order the exclusion of any person Declared guilty of any corrupt or fraudulent practices by Greyhound racing authorities of any other state, or by the Judges of any Recognized Meeting. The names of all persons ordered excluded shall be promptly reported to the Commission.
- 22. The Judges shall take notice of corrupt racing and other questionable transactions on the track. Complaint thereof may be made by any person, but in the failure of the

- complainant, if he be an Owner, Trainer or Authorized Agent, to substantiate the charge, he may be liable for a fine, suspension or both.
- 23. During each racing Day a majority of the Judges of the Meeting shall be at the office building on the grounds of the Association where the racing Meeting is being held, not later than Weighing In time, to exercise the authority and perform the duties imposed on the Judges by the Rules of Racing.
- 24. If only one (1) Judge is present in the stand, the Association shall name one (1) or more persons to serve with him. If none of the Judges are present, the Association shall name at least two (2) persons to serve during the absence of the Judges, immediately filing a written report with the Commission.
- 25. When a vacancy occurs among the racing officials other than the Judges, prior to Post Time of the first Race of the Day, or when a vacancy occurs after the racing of the Day has started, the Judges shall immediately fill the vacancy. The appointment shall be effective only for the Day unless the Association fails to fill the vacancy on the following Day and has notified the Judges of its action not less than one (1) hour before Post Time of the first Race of the Day. Such appointment shall be reported immediately to the Commission by the Judges.
- 26. A Greyhound, after leaving the paddock for the post, may be excused by the Judges, but only in cases where they consider such Greyhound crippled, disabled or unfit to run. All money wagered on such Greyhound shall be refunded.
 - (a) After a Greyhound has been placed in the starting box, no refund shall be made and all wagers stand. In case of mechanical failure to the starting box when a Greyhound or Greyhounds are prevented from starting, a full and complete refund shall be made on said Greyhound or Greyhounds.
 - (b) The decision of the Starter as to whether said Greyhound or Greyhounds were prevented from starting by a mechanical failure shall be final and such decision shall be immediately reported to the Judges.
- 27. The Judges shall decide which Greyhound wins and assign the respective Places to as many Greyhounds as they think proper. In determining the Places of the Greyhounds at the finish of the Race, the Judges shall consider only the relative position of the respective muzzles of such Greyhounds.
 - (a). In the event that a Greyhound loses its muzzle or finishes with a hanging muzzle, the Judges shall then consider only the relative position of the nose of such Greyhound and the muzzles of the other Greyhounds in the Race.
- 28. The Judges shall promptly display the numbers of the first three (3) Greyhounds in each Race in order of their finish. If the Judges differ in their placing, the majority shall prevail.
- 29. Photo finish camera. On all tracks a proper camera shall be installed as an aid to the racing judges; however, in all cases the camera is merely an aid, and the decision of the racing judges shall be final. The type of equipment used and its installation shall be approved by the Division. Each Association shall keep on file for three (3) years after the close of the meet each print and negative of each Race for reference or reproduction upon request of the Division. Whenever a photo finish print is called on

- by the judges, a print of said finish shall be posted in a conspicuous public place as promptly as possible after each Race.
- 30. Whenever it is considered advisable to consult a picture from the finish camera, the Judges may post without waiting for a picture, such placements as are in their opinion unquestionable and after consulting the picture, make other placements. However, in no case shall the Race be declared official until the Judges have determined the Greyhounds finishing first, second and third.
- Nothing in these Rules shall be construed to prevent the Judges from correcting an error before the display of the sign "Official" or from recalling the sign "Official" in case it has been displayed through error.
- 32. Any Greyhound may be placed on the schooling list by the Judges at any time.
- 33. The Judges shall each Day make report in writing to the Commission of all infractions of the Rules and of all rulings of the Judges upon matters coming before them during the Meeting.

Section 14 <u>Lead-Outs: Duties</u>

- 1. Owners, Trainers or attendants will not be allowed to lead their own Greyhounds from the paddock to the starting box, except in schooling races. The Greyhounds shall be led from the paddock to the starting box by Lead Outs provided by each Association for that purpose.
- 2. Lead Outs will be required to present a neat appearance and conduct themselves in an orderly manner and must be attired in clean uniforms provided by the Association.
- 3. The Lead-Out must put his Greyhound in its proper box before the Race and then retire to his assigned place.
- 4. No Lead-Out will be permitted to have any interest in the Greyhounds racing for said Association.
- 5. Lead Outs shall be assigned to Post Position by the Paddock Judge by lot before each Race and a record thereof shall be maintained.
- 6. Lead Outs are prohibited from holding any conversation with the public or with one another, either in the paddock, enroute to the starting post or while returning to the paddock.
- 7. Smoking while in uniform and on duty is prohibited.
- 8. Lead-Outs are prohibited from wagering on the result of any Greyhound racing at the track where they are assigned.

Section 15 Medication and Drugs

1. Urine, blood and other specimens shall be taken and tested from such Greyhounds as the Judges of the Meeting or the Commission's representatives may designate. Such tests are to be under the supervision of the Commission. The specimens shall be collected by the Veterinarian or such other person or persons as the Commission may

designate.

- 2. If the Judges shall find that any drug, stimulant, depressant or local anesthetic has been administered or attempted to be administered internally or externally, to a Greyhound before a race, which is of such character as could affect the racing condition of a Greyhound in such Race, said Judges shall impose such punishment and take such other action as they deem proper under any of the Rules including reference to the Commission, against every Owner and/or Lessee and/or Trainer responsible for the proper care and protection of the Greyhounds involved and against every person found by them to have administered or to have attempted to administer or to have caused to be administered or have caused an attempt to administer or to have conspired with another person to administer such drug, stimulant, depressant or local anesthetic.
- 3. The Owner, Trainer, groom or any other person having charge, custody or care of the Greyhound is obligated to protect the Greyhound properly and guard it against such administration or attempted administration, and if the Judges shall find that any such person has failed to show proper protection and guarding of the Greyhound, or if the Judges find that any Owner and/or Lessee and/or Trainer is guilty of negligence with respect thereto, they shall impose such punishment and take such other action as they deem proper under any of the Rules including reference to the Commission.
- 4. The Owner and/or Lessee of a Greyhound so found to have received such administration shall be denied or shall promptly return any portion of the purse or Sweepstakes together with any trophy in such Race and the same shall be distributed as in the case of a disqualification. If a Greyhound shall be disqualified in a Race because of this rule the eligibility of other Greyhounds which ran in such Race and which have started in a subsequent Race before announcement of such disqualification shall not be in any way affected.
- 5. The kennel of the Owner under investigation for violation of Rule 2 of this Section shall not be permitted to Race until a bearing has been held by the Judges and a decision has been rendered.
- 6. Hearings concerning the violation of Medication and Drug rules shall be held by and before the Presiding Judge, the Associate Judge and the Commission Judge. A representative of the Commission may be present as an observer at all such hearings.
- 7. The Owner, Trainer or authorized representative shall be present in the testing area when a urine or other specimen is taken from his Greyhound and shall remain until the sample tag is signed by the Owner, Trainer or authorized representative as witness to the taking of the specimen.
- 8. Willful failure to be present at or a refusal to allow the taking of any such specimen or refusal to sign the specimen tag to the taking of a specimen, or any act or threat to impede or prevent or otherwise interfere therewith shall be reported to the Judges who shall subject the person or persons guilty thereof to immediate suspension by the Judges of the Meeting and the matter shall be referred to the Commission for such further action as, in its discretion, it may determine.

The representative of the Commission may take for analysis samples of any medicine or other materials suspected of containing improper medications or drugs which could affect the racing conditions of a Greyhound in a Race, which may be found in the track area

or in the possession of any person connected with racing on such tracks.

- 10. Any portion of the purse, if not al ready paid, which is to be redistributed in accordance with their provisions of this Section, shall be held until such redistribution is approved by the Commission. This rule shall apply only to the amount payable to the Owner or Owners of the disqualified Greyhound and shall not prevent payment due to other Greyhounds in the Race pending approval of the Commission
- 11. Every Owner, Trainer or Authorized Agent shall immediately, whenever requested by the Commission, submit any Greyhound or Greyhounds of which he is the Owner, Trainer or Authorized Agent, to any Veterinary Surgeon designated by the Commission for such examination or tests as said Veterinarian may deem advisable.
- 12. Any test or examination made by the Veterinarian designated by the Commission may be witnessed by the Commission or any of its representatives and by the Owner or his Authorized Agent, or by the Trainer of the Greyhound or Greyhounds so examined or tested.
- 13. No person within the track enclosure of a Greyhound racing Association licensed by the Commission shall have in or upon the premises which he occupies or controls or has the right to occupy or control within said track enclosure or in his personal property or effects within said track enclosure any hypodermic syringe, hypodermic needle or other device which could be used for the injection or other infusion into a Greyhound of a drug, stimulant, narcotic, depressant or local anesthetic. Every Association licensed by the Commission is required to use all reasonable efforts to prevent the violation of this rule.
- 14. Every Association, the Commission and the Judges or any of them shall have the right to permit a person or persons authorized by any of them to enter into or upon the buildings, rooms or other places within the track enclosure of such an Association and to examine the same and to inspect and examine the personal property and effects of any person within such place; and every person who has been granted a license by the Commission, by accepting his license does consent to such search and seizure of any such hypodermic needles or other devices, and any drugs, stimulants, narcotics, depressants or local anesthetics apparently intended to be or which could be used in connection therewith so found.
- 15. Any person found guilty by the Commission of any participation in or knowledge of the fact that any drug, narcotic, stimulant, depressant, local anesthetic or any electrical, mechanical or other application has been used which, in the opinion of the Judges, is of such character as could affect the racing condition of such a Greyhound in a Race may be Ruled Off all tracks in Rhode Island.
- 16. Every Association and all officials and employees thereof shall give every possible aid and assistance to any department, bureau, division, officer, agent, inspector or any other person connected with the United States Government or with Rhode Island who may be investigating or prosecuting any such person they may suspect of being guilty of possessing any drug, narcotic, stimulant, depressant or local anesthetic, hypodermic syringes, hypodermic needles, or any electrical, mechanical or other device which, in the opinion of the Judges, is of such character as could affect the racing condition of a Greyhound in a Race.
- 17. Nothing herein contained under any of the rules of this Section shall alter or diminish

the power of the Commission to review or originate action in any case covered by any of the Rules set forth in this section.

18. <u>Bottles, containers to be labeled.</u> The Trainers shall insure that all bottles and other containers kept in or about the kennel shall bear a label stating plainly the name of each drug or substance contained therein; provided, however, that this rule shall not apply if the containers bear regular prescription labels with pharmacists' numbers, names and addresses and then names of the prescribing veterinarians.

Section 16 Objections

- 1. All objections must be made to the Judges in writing, signed by the objector and a copy thereof sent immediately to the Commission.
- 2. Permission of the Judges is necessary before an objection can be withdrawn.
- 3. Any person or persons lodging an objection must pay all costs and expenses incurred in determining the objection in such proportions as the Judges shall decide, unless relieved from such expense by the Commission.
- 4. The Judges may require a cash deposit before considering an objection which may be Forfeited if the objection should prove to be frivolous or without foundation.
- 5. The Judges must decide every objection pertaining to the Race. From every decision an appeal in writing may be made to the Commission within forty-eight (48) hours of the time the objector has been officially informed of said decision.
- 6. Objections to a Greyhound engaged in a Race may be made by the Owner or Trainer of some other Greyhound engaged in the same Race or by an official of the Meeting to one of the Judges.
- 7. Objection to any decision of the Clerk of Scales shall be made before the Greyhounds leave the paddock for the start of the race.
- 8. Pending a decision on an objection, any prize which the Greyhound against which the objection is lodged, may have won or may win in the Race shall be withheld until the objection is determined.
- Objections shall be filed with the Judges within forty-eight (48) hours (exclusive of Sundays) from the time the Race is run in which the Greyhound that occasioned the objection participated.
- 10. In all cases of fraud or willful deception, the time limitation shall not apply; provided the Judges are satisfied that the allegations are bona-fide.
- 11. If an objection to a Greyhound which has won or which has been Placed in a Race is declared valid, that Greyhound is disqualified and the other Greyhounds in the Race are entitled to Place in the order in which they finished.

Section 17 Owners and Trainers

- 1. The Trainer shall be responsible for and be the absolute insurer of the condition of Greyhounds he enters, regardless of the acts of third parties. The Trainers are presumed to know the Rules of Greyhound Racing as adopted by the Commission.
- 2. When a Trainer is to be absent from his kennel and the facility where his Greyhounds are racing, a kennel Owner, or said licensed Trainer shall notify, in writing, the Board of Judges, and the Division, of the licensed Trainer, assistant Trainer or licensed Authorized Agent who will assume complete responsibility for the Greyhound he is running.
- 3. All Owners must file with the Commission and the Association a roster of his Trainer, assistant Trainers, Authorized Agent and other employees and if any Owner changes Trainer, he must notify the Racing Secretary forthwith and require the new Trainer or any new employees to sign the Owner's filed roster.
- 4. No Owner, Trainer, assistant Trainer, racetrack employee or other person, or either of them, shall accept directly or indirectly, any bribe, gift or gratuity in any from which intends to or might influence the results of any race.
- 5. Every Owner or Trainer who does not have his Greyhound at Weighing In room promptly at the time appointed shall have his Greyhound Scratched and, in addition, said Owner or Trainer may be liable for a fine.
- 6. Trainers shall report Greyhounds under their care or superintendence that are off racing form or in poor physical condition to the Racing Secretary who shall immediately notify the Judges. Greyhounds so reported shall not be eligible to enter or to start until approved by the Track Veterinarian and schooled to the satisfaction of the Judges. Violators of this rule may be subject to a fine, suspension or to Ruling Off.
- 7. No medicine, antiseptic, fluid or any matter containing any color causing the marring of identification marks shall be used on any part of a Greyhound.
- 8. Any Owner, Trainer or other person interested in any Greyhound or Greyhounds at a Meeting licensed by the Commission, who shall bet with or through any handbook, shall be ejected from the grounds of the Association and shall be refused admission to the grounds of all other licensed Associations in RI. In the case of the Owner of any Greyhound, the entries of said Owner shall be refused for all RI tracks.
- 9. All Owners and Trainers of Greyhounds and, their employees are subject to the Laws of the Rhode Island and the rules promulgated by its Commission immediately upon making entry to run on a track in Rhode Island.
- Owners, Trainers and their employees shall abide by said Laws and Rules and accept the decision of the Judges on any and all questions to which their authority extends, subject to the right of appeal to the Commission.
- 11. All Trainers and assistant Trainers who are being licensed for the first time must pass a test with the score of 70%, as a condition precedent to licensing. The test shall be

compiled by the Division of Racing & Athletics, and administered by the Board of Judges at the time of application. A person who fails such test will be allowed to retake a test upon request.

- Assistant Trainers may enter upon the premises and be engaged in their work for a probationary period of thirty (30) Days during which time said testing procedure shall be completed either favorably or unfavorably.
- 12. All Kennel Owners and Trainers who have been allotted premises within the grounds shall keep kennel doors locked at all times when not attended by licensed personnel; also, said kennel Owner or Trainer shall report any damage to doors or locks within their compound, in writing to the track superintendent and the Division of Racing and Athletics.

Section 18 Paddock Judge

- 1. No Greyhound shall be permitted to start in a schooling or Purse Race that has not been fully identified and checked against the card index system of identification maintained by each Association. The identification cards shall be filled in and completed by the Paddock Judge before Greyhounds are entered for schooling or for a Purse Race.
- The Paddock Judge shall fully identify and check against the card index system of
 identification maintained by the Association all Greyhounds starting in schooling and
 Purse Races. He shall report to the Judges any Greyhound or Greyhounds who do not
 conform to the card index identification.
- 3. Under the supervision of the Paddock Judge, the Kennel Master shall unlock the kennels immediately before Weigh In time to see that the kennels are in perfect repair and that nothing has been deposited in any of the kennels for the Greyhounds' consumption. He shall see that the kennels are sprayed, disinfected and kept in proper sanitary condition. He or his assistant must receive the Greyhounds from the Trainer, one at a time, and see that each Greyhound is placed in its kennel; and remain on guard from that time until the Greyhounds are removed for the last race.
- 4. As each Greyhound is weighed in there shall be an identification tag attached to its collar indicating the number of the Race in which the Greyhound is entered and its Post Position. This tag shall not be removed until the Greyhound has been weighed out and blanketed.
- 5. The Paddock Judge shall not allow anyone to Weigh In a Greyhound for racing, unless he has in his possession a valid Owner's or Trainers license issued by the Commission.
- 6. After the Greyhounds are placed in the lock-out kennels, no person other than the Kennel Master, racing officials, person or persons approved by the Commission or designated representatives of the Commission shall be allowed in or near the lock-out kennels. At no time shall less than two (2) such authorized persons be present in the lock-out kennels.
- 7. The Paddock Judge shall carefully compare the identification card with the Greyhound while in the paddock before Post Time.

8. Before leaving the paddock for the starting box, every Greyhound must be equipped with a regulation muzzle and blanket. The muzzles and blankets used shall be approved by the Paddock Judge and shall be carefully examined by him in the paddock before the Greyhound leaves for the post.

Section 19 Registration of Partnerships

- 1. All partnerships must be registered with the Commission and the name and address of every person having any interest in a Greyhound, the relative proportions of such interest and the terms of any sales with contingencies or arrangements must be signed by all parties or by their Authorized Agents and be filed with the Racing Secretary, a copy of which shall be transmitted immediately before the opening of the racing Meeting to the office of the Commission. All partners and each of them shall be jointly and severally liable for all Stakes and Forfeits.
- 2. All statements of partnerships, of sales with contingencies or arrangements, shall declare to whom winnings are payable, in whose name the Greyhound shall run and with whom the power of entry or of Declaration of Forfeit rests, and a copy of the information shall be transmitted immediately to the Commission.
- 3. In case of emergency, authority to sign Declarations of partnership may be given to the Racing Secretary by a telegram promptly confirmed in writing.
- 4. The part Owner of any Greyhound cannot assign his share or any part of it without the written consent of the other partners: the said consent to be filed with the Racing Secretary.
- 5. Each of the partners must be licensed as an Owner and the Rules covering partnerships must be complied with.

Section 20 Post Position

1. The Post Position of Greyhounds in starting shall be assigned by lot or drawing, supervised by the Commission Judge and Racing Secretary, at a time and place properly posted in the paddock, at least one (1) Day previous to the running of the Races, so that any and all Owners, Trainers or Authorized Agents interested may be present if they so desire.

Section 21 Program—See Chartwriter's Duties (Section 6)

Section 22 Qualifying Time

- Each Association licensed by the Commission shall establish qualifying times.
- Each Association shall notify the Commission at least three (3) Days before the first Day of official racing of the qualifying times established, and such time, while in effect, shall be continuously posted on the notice board at the track. Any change in the qualifying time established, during the course of the Meeting, shall be made only with the approval of the Commission.

3. Any Greyhound that fails to meet the qualifying times shall not be permitted to start in any Race.

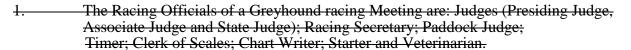
Section 23 The Race

- 1. If a Greyhound bolts the course, runs in the opposite direction, or does not run the entire prescribed distance for the Race, it shall Forfeit all rights in the Race and, no matter, where it finished, the Judges shall declare the finish of the Race the same as if said Greyhound were not a contender. However, for the purpose of the rule, said Greyhound shall be considered a "Starter."
- 2. If a Greyhound bolts the course, or runs in the opposite direction during the running of the Race, and in do doing, said Greyhound, in the opinion of the Judges, interferred with any other Greyhound in the Race, the Judges shall declare it "No Race" and all monies wagered shall be refunded; except when, in the opinion of the Judges, such interference clearly did not interfere with the outcome of the Race.
- 3. If it appears that a Greyhound may interfere with the running of the Race because of failure to leave the box, because of an accident or for any other reason, any person under the supervision of the Judges stationed around the track, may remove said Greyhound from the track. However, for the purpose of the rule, said Greyhound shall be considered a "Starter."
- 4. All Greyhounds must wear the regulation Association muzzle and blanket while racing.
- 5. Muzzles and blankets must be carefully examined in the paddock by the Paddock Judge before the Greyhounds leave for the post and again be examined before the Judges at the Judges' Stand or before the Starter at the Starting box by the Patrol Judge.
- 6. All Greyhounds must be exhibited in the show paddock before Post Time of the Race in which they are entered.
- 7. After the Greyhounds leave the paddock on their way to the starting point, and until the Judges direct the gates to be reopened all persons except the racing officials and necessary attendants shall be excluded from the track to be run over.
- 8. No Race shall be called official unless the Lure is in advance of the Greyhounds at all times during the Race and if at any time during the Race, any Greyhound or Greyhounds catch or pass the Lure, the Judges shall declare it "No Race" and all monies shall be refunded.
- 9. The Judges shall closely observe the operation of the Lure and hold the Lure Operator to strict accountability for any inconsistency of operation.
- 10. If a Greyhound is left in the box when the doors of the starting box open at the start, there shall be no refund—but if one (1) or more Greyhounds are prevented from leaving the box at the start because of being locked in the starting box, the money wagered on said Greyhound or Greyhounds so locked in the starting box, shall be deducted from the pool and it shall be refunded. The Judges shall make the sole decision on the question of what Greyhound or Greyhounds are prevented from

starting in a Race through failure of the doors of the starting box to open.

11. If a Race is marred by jams, spills or racing circumstances other than accident to the machinery while a Race is being run, and three (3) or more Greyhounds finish, the Judges shall declare the Race finished; but if less than three (3) Greyhounds finish, the Judges shall declare it "No Race" and all monies shall be refunded.

Section 24 Racing Officials



- 2. All Racing Officials herein designated shall be appointed by the Association holding the Meeting; such appointments being subject to the approval of the Commission which reserves the right to demand a change of personnel for what it deems good and sufficient reason, the successor to officials so replaced to be subject to the approval of the Commission.
- 3. The following positions at a Greyhound racing Meeting shall be designated as key personnel: Director of Racing, Patrol Judge, Kennel Master. Operator of the Mechanical Lure, Mutuel Manager and Announcer. All of the above designated key personnel shall be appointed by the Association subject to the approval of the Commission under the same conditions as set forth in Rule 2 above.
- 4. Associations shall submit to the Commission the names of all Racing Officials and all designated key personnel not less than thirty (30) Days prior to the first Day of the Meeting.
- 5. No one interested in the result of a Race, either because of Ownership of any Greyhound entered, or of its sire or dam, or because of bets or otherwise, shall act as Racing Official or designated key personnel therein.
- 6. If any Owner, Trainer, attendant or any other person licensed by the Commission uses profane or indecent language to a Racing Official or otherwise disturb the peace of any track enclosure, he shall be liable for a fine, suspension or both, or may be Ruled Off, and such action shall be immediately reported to the Commission.
- 7. The Racing Officials have power to call on any person in whose name a Greyhound is entered to produce proof that the Greyhound entered is not the property, either wholly or in part, of any person who is disqualified; or to produce proof of the extent of his interest or property in the Greyhound; and in default of such proof being given to their satisfaction, the Judges shall declare the Greyhound out of the Race.
- 8. No Racing Official or designated key personnel of an Association shall accept, directly or indirectly, any gratuity, reward or favor in connection with racing at the Meeting.
- 9. No Racing Official may buy mutuel tickets at the Meeting at which he is employed. Penalty for such violation shall be immediate dismissal.
- 10. Racing Officials shall report to the Judges all observed violation of the Rules.

Section 25 Racing Secretary-Duties

- 1. The Racing Secretary shall discharge all duties whether expressed or required by the Rules of Greyhound Racing; he shall report to the Judges as the case may demand, all violation of these Rules or of the regulations of the course coming under his notice; he shall keep a complete record of all races; he shall receive all stakes, entrance money, arrears and fines and pay over all monies so collected by him to such officers or persons as may be entitled to receive the same.
- 2. The Racing Secretary shall receive all entries and Declarations.
- 3. The Racing Secretary shall inspect Owners' and Trainers' licenses and all papers and documents dealing with Trainers and Owners, partnership agreements, appointment of Authorized Agents, adoption of Kennel Names and may demand production of same in order to satisfy himself as to their validity and authenticity and that the Rules of Greyhound Racing in regard thereto have been complied with, which papers shall be available to the Commission Judge at all times.
- 4. Conditions of Races shall not conflict with the Rules of Greyhound Racing.
- 5. The Racing Secretary shall view the running of each Race from such positions as are designated for this purpose by the Association.
- 6. The Racing Secretary shall each Day, as soon as the entries have closed and have been complied and the Declarations have been made, post in a conspicuous place a list thereof.

Section 26 Registration

- 1. No Greyhound shall be entered or permitted to Race or to be schooled at any racetrack licensed by the Commission unless properly registered by the National Greyhound Association of Abilene, Kansas. The National Greyhound Association (NGA) shall be recognized as the official breeding registry of all Greyhounds and the Greyhound Publications, Inc. Information System shall be recognized as the official record keeping agency of all Greyhound performances and shall maintain the past performance lines on every Greyhound raced at an official track licensed by a Racing Jurisdiction. The Rhode Island Commission may certify any Greyhound whose lack of registration with the approved registry is attributable to arbitrary discriminatory or other unreasonable action or inaction on the part of such agencies.
- 2. The registry and record keeping agency shall be self funding, insofar as is practicable, and shall be authorized to charge reasonable fees for their services to attain this objective.
- 3. A Greyhound shall not be entered for racing or schooling at any official track unless it has been tatooed and registered in the NGA stud book and the last six performance lines, if applicable, and the racing history of the Greyhound are made available to the Racing Secretary from the Greyhound Information System.
- 4. The NGA breeding registry shall furnish to the Greyhound Information System when such Greyhounds are registered and named the necessary and proper information. A reasonable* fee per start shall be deducted from the weekly purses by the track and paid to the Greyhound Information System. (*The fee shall be 80 cents per race.)

- 5. The Commission shall have access to all times to the NGA Breeding Registry and transfer files and to the Greyhound Publications Inc. Information System.
- 6. All certificates of registration must be available at all times for inspection by the Commission Judge.
- 7. All transfer of any title to, leasehold or other interest in Greyhounds schooled, entered or racing at any track under the jurisdiction of the Commission shall be registered and recorded with the National Greyhound Association of Abilene, Kansas.
- 8. No title, leasehold or other interest in any Greyhound will be recognized by the Commission until such title, leasehold or other interest shall be evidenced by written instrument duly filed with and recorded by the National Greyhound Association of Abilene Kansas, and certified copies thereof filed with the Commission and the Racing Secretary at the track where said Greyhound is to schooled, entered or raced.

Section 27 Schooling

- 1. Greyhounds must be properly schooled in the presence of the Judges, and must, in the opinion of the Judges, be sufficiently experienced before they can be entered or started.
- 2. All schooling Races shall be at a distance not less than the distance nearest 5/16 mile in use at the track.
- 3. Greyhounds which transfer from one track to another under the jurisdiction of the Commission need not school if they have raced within ten (10) Racing Days.
- 4. Each official schooling Race must consist of at least six (6) Greyhounds. However, if this condition creates a hardship, less than six (6) may be schooled with the permission of the Commission Judge.
- 5. No hand schooling will be considered official.
- 6. Any Greyhound that has not been entered for a period of six (6) racing Days and/or has not raced for a period of ten (10) racing Days or more shall be schooled at least once at its racing weight before being eligible for entry.
- 7. All Greyhounds in official schooling Races must be raced at their Established racing Weight and started from the box wearing blankets.
- 8. Any Owner, Trainer or Authorized Agent, licensed by the Commission, who has Greyhounds under his care and management that are booked to Race on tracks licensed by the Commission, and who permits said Greyhounds to be schooled on any track in Rhode Island or elsewhere, not approved by the Commission during these bookings shall be subject to the immediate revocation of his license.
- 9. Any Greyhound may be ordered on the schooling list by the Judges at any time. Any Greyhound ordered on the schooling list by the Judges must be schooled officially and satisfactorily before being allowed to enter a race.
- 10. Each Association shall provide a photo-finish camera approved by the Commission, to

be in operation at all official schooling races.

Section 28 Scratches

- 1. To Scratch a Greyhound entered in a Race, sufficient cause must be given to satisfy the Judges. All Scratches and the cause must be reported immediately to the Commission Judge. to the Commission Judge.
- 2. Any Scratches that occur that are the result of a violation of a racing rule must carry a penalty and/or suspension of said Greyhound for a period of six (6) racing Days. Scratches for other causes must be disciplined at the discretion of the Judges.
 - (a) However, if any Owner or Trainer fails to have the Greyhound entered at the track at the appointed time for Weighing In, and, as a result, said Greyhound is Scratched, the Judges shall impose a Forfeiture and/or suspension on the person responsible.
- 3. If three (3) or more Greyhounds are withdrawn or Scratched in any one race, the Judges may cancel said Race.
- 4. The Judges may Scratch a Greyhound entered in a Race for sufficient cause.

Section 29 Starter-Duties

- 1. The Starter shall give such orders and take all such measures, not in conflict with the Rules of Greyhound Racing, as are necessary to secure a fair start.
- 2. The Greyhounds shall be started from a type of starting box approved by the Commission and there shall be no start until, and no recall after, the doors of the starting box have opened.
- 3. The Starter shall report causes of delay, if any should occur, to the Judges.
- 4. A false start, due to any faulty action of the starting box, break in the machinery or other cause, is void, and the Greyhounds may be started again as soon as practicable, or the Race may be cancelled at the discretion of the Judges.

Section 30 Timer-Duties

The Timer shall be responsible for the timing of each and every Race. He shall time each Race by hand using a stop watch checked and certified by a competent watchmaker.

- 2. The Timer shall declare the official time of the Race.
- 3. Timer of the Race shall be taken from the opening of the doors of the starting box.
- 4. Each Association shall be required to install an automatic timing device approved by the Commission. The Timer shall use the time shown on the timing device as the official time of the Race if he is satisfied that the timing device is functioning properly; otherwise, he shall use the time shown on the stop watch. When the stop watch time is used as the official time of the Race, it shall be so announced to the

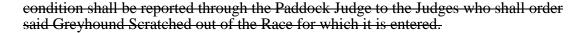
public.

Section 31 Veterinarian-Duties

- 1. Each Association licensed by the Commission shall be serviced by at least one graduate veterinary surgeon, licensed to practice under the laws of Rhode Island. Such Veterinarian shall be on the grounds of the Association at Weighing In time and during all racing hours.
- 2. The Veterinarian shall make an examination of the physical condition of each Greyhound at Weighing In time.
- 3. Any Greyhound the Veterinarian does not consider in proper physical condition shall be reported through the Paddock Judge to the Judges who shall order said Greyhound Scratched out of the Race for which it is entered.
- 4. It shall be the duty of the Veterinarian to make his final examination and inspection of all Greyhounds during the time they are in the paddock before they leave for the track; and if he finds any Greyhound not in proper physical condition, such Greyhound shall be reported through the Paddock Judge to the Judges who shall order such Greyhound Scratched.
- 5. Bitches in season are not permitted on the track.
- 6 Bitches coming in season during the racing Meeting must not be accepted for entry within ninety (90) Days from the date of coming in season.
- 7. Bitches in milk are not eligible to school or to race.
- 8. Bitches in season must be reported to the Judges and the Veterinarian. Owners and Trainers failing to report this condition promptly shall be fined, Suspended, or both.
- 9. Bitches that have not raced in ten (10) Days shall be brought to the paddock at Weighing In time for inspection by the Veterinarian at least once each week. Bitches not brought in upon report of the Veterinarian shall be Declared off for the balance of the Meeting.
- (a) In cases where a bitch has been prevented from racing because of an injury, the Judges, upon certification, of such injury by the Veterinarian, may modify or waive the provisions of this rule.

Section 32 Association Veterinarian-Duties

- 1. Each Association licensed by the Commission shall be serviced by at least one (1) graduate veterinary surgeon, licensed to practice under the laws of Rhode Island. Such Veterinarian shall be on the grounds of the Association at Weighing In time and during all racing hours.
- 2. The Association Veterinarian shall make an examination of the physical condition of each Greyhound at Weighing In time.
- 3. Any Greyhound the Association Veterinarian does not consider in proper physical



- 4. It shall be the duty of the Association Veterinarian to make his final examination and inspection of all Greyhounds during the time they are in the paddock before they leave for the track; and if he finds any Greyhound not in proper physical condition, such Greyhound shall be reported through the Paddock Judge to the Judges who shall order such Greyhound Scratched.
- 5. Any Greyhound who is injured in the course of racing, who is Scratched before running, who does not finish (DNF) or who finished out-of-picture (OOP) shall be placed upon a "VET'S LIST," and shall not be caused to be entered without an examination by the Association Veterinarian and subject to engaging in a schooling Race.
- 6. Bitches in season are not permitted on the track.
- 7. Bitches coming in season during the racing Meeting must not be accepted for entry within ninety (90) Days from the date of coming in season.
- 8. Bitches in milk are not eligible to school or to race.
- 9. Bitches in season must be reported to the Judges and the Association Veterinarian.

 Owner and Trainers failing to report this condition promptly shall be fined,

 Suspended or both.
- 10. Bitches that have not raced in ten (10) Days shall be brought to the paddock to Weighing In time for inspection by the Association Veterinarian at least once each week, Bitches not brought in upon report of the Association Veterinarian shall be Declared off for the balance of the Meeting.
 - (a) In cases where a bitch has been prevented from racing because of an injury, the Judges, upon certification of such injury by the Association Veterinarian, may modify or waive the provisions of this rule.
- 11. In the event that an Association Veterinarian shall engage in a private practice in treating racing animals within the licensed premises, he shall:
 - (a) File a daily report to the Commission office, listing on Commission forms, the Greyhound treated, the Trainer and kennel authorizing treatment, the diagnosis made, and the medication or drugs prescribed. If the medication prescribed could in fact alter the performance of said Greyhound, then notation shall be made by the Association Veterinarian.
 - (b) No Veterinarian, either Association or practicing shall furnish, sell, or loan hypodermic syringe, hypodermic needle or other device which could be used for injection or other infusion into a Greyhound, or narcotic, stimulant or narcotic to any person within the grounds of the Association without securing written permission from the Judges.

PRACTICING VETERINARIANS

- 12. All Veterinarians allowed to treat Greyhounds on the licensed premises shall be licensed to practice in the States of Rhode Island and Massachusetts, and shall be licensed by the Commission.
- 13. No Veterinarian licensed to practice on the grounds of any Association shall furnish, sell or loan any hypodermic syringe, hypodermic needle or other device which could be used for injection or other infusion into a Greyhound or a narcotic stimulant, or narcotic to any person within the grounds of an Association where Greyhounds are lodged or kept without first securing written permission from the Judges. Only one-time disposable syringes and infusion tubes are authorized for use in the treatment of Greyhounds on the grounds of the Association.
- 14. No Owner or Trainer shall employ any Veterinarian who has not been duly licensed in accordance with these Rules and regulations. The Association shall warn off all unlicensed Veterinarians. The Veterinarians shall make daily reports to the Commission Inspector and to the Judges of all Greyhounds under treatment by them and the medication given. Any violation of this rule shall be immediately reported to the Commission and the Judges.
- 15. Every Veterinarian who shall prescribe or use any medication or treatment which contains a drug or drugs, which he has reason to believe are of such character as could affect the racing condition of a Greyhound in a Race, shall at the time of such prescribing or use deliver to the Judges of the Commission and the Trainer of the Greyhound under treatment a written statement setting forth the name of the Greyhound and of the Trainer and the fact that such medication or treatment, as the case may be, contains a drug, stimulant or narcotic which, in the opinion of the Veterinarian is of such character as could affect the racing condition the Greyhound in a Race.

Section 33 Weights and Weighing

- 1. All Greyhounds must be weighed not less than one (1) hour before the time of the first Race of the Day.
- 2. Before a Greyhound is allowed to school or Race at any track, the Owner or Trainer must establish its racing weight with the Clerk of Scales.
- 3. At Weighing In time, should there be a variation of more than one and one-half (1½)

 Pounds either way from its Established Weight, the Judges shall order said Greyhound

 Scratched.
- 4. At Weighing In time, if a Greyhound loses weight in excess of one (1) pound from its Weigh In weight while in the lock-out kennels, the Judges shall order said Greyhound Scratched. However, upon certificate from the Veterinarian that such loss of weight while in the lock-out kennels does not impair the racing condition of the Greyhound, the Judges may allow said Greyhound to race.
- 5. If at Weighing In time, should there be more than two (2) pounds variation between the weight of its present Race and the weight at Weighing In time of its last race, the Judges shall order said Greyhound



- 6. The weight regulations provided in Rules 1,2, 3, and 4 above shall be printed in the daily Program.
- 7. The Established racing Weight may be changed from time to time on written request of the Owner or Trainer and by written consent of the Judges, providing such change is made four (4) calendar Days before the Greyhound is allowed to Race at the new weight.
 - (a) All Greyhounds having an Established Weight change of more than one (1) pound must be schooled at least one; or more at the discretion of the Judges, at the new Established Weight before being eligible for starting.
 - (b) Greyhounds that have not raced or schooled officially for a period of three (3) weeks will be allowed to establish a new racing weight with the written consent of the Judges and may be schooled officially immediately upon receipt of said written consent.
- 8. The Judges shall have the privilege of weighing a Greyhound entered in a Race at any period from the time he is entered in a Race until Post Time.
- 9. Immediately after being Weighed In, the Greyhounds shall be placed in lock out kennels under the supervision of the Paddock Judge and no Owner or other person excepting the Paddock Judge, Veterinarian, Kennel Master, Scales Clerk, Lead Out, Judges or Commission's representatives shall be allowed in or near the lock out kennels.

Section 34 Grading

- 1. Each track under the jurisdiction of this Commission shall operate with a grading system approved by the Commission prior to each racing season.
- 2. There will be five (5) grades for experienced Greyhounds. When designating the grades of races, the letters AA, A, BB, B and C. Grade AA shall be the highest classification and grade C shall be the lowest. Further, grades M and J shall be used for inexperienced Greyhounds with grade M referring to Maiden classification.

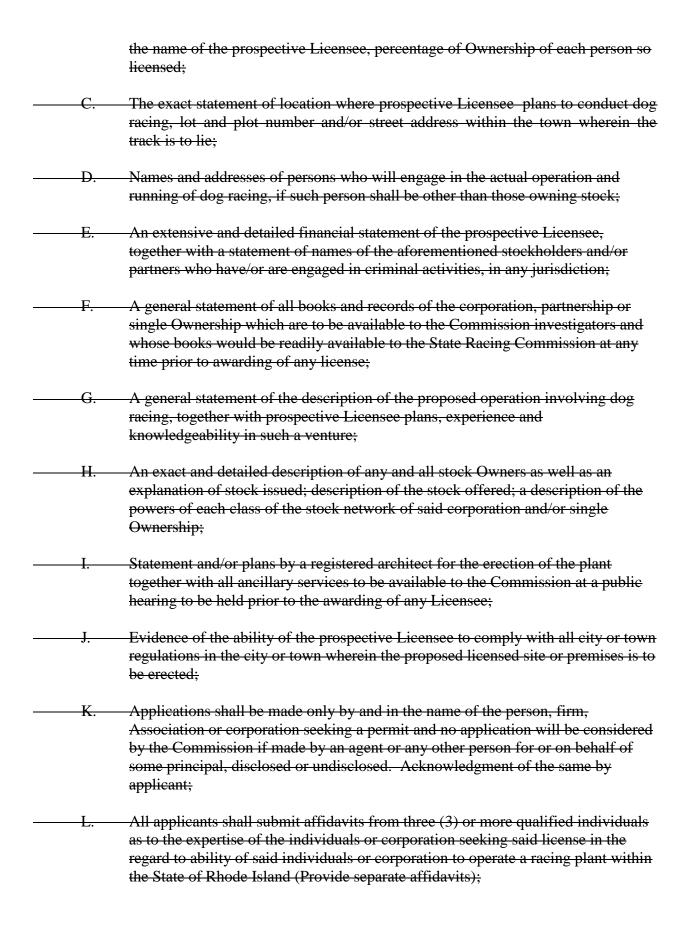
Grade S shall be used for Greyhounds entered in Stakes Races and special Races.

Grade T shall be used for Greyhounds used in mixed grades.

- 3. At any time within a Greyhound's first three (3) starts, the Racing Secretary may reclassify the Greyhound; but not more than one grade either higher or lower. From this classification the grading system will apply in the established manner.
- 4. The winner of any graded Race shall advance one (1) grade until reaching Grade A.
- 6. Greyhounds which finish farther back than third, three (3) consecutive while in the same grade, shall be lowered one (1) grade.

Section 35 General Rules

Every person participating in and every patron of a licensed Racing Meeting shall abide by said Law and Rules and accept the Judges' decision on any and all questions to which their authority extends, subject to the right of appeal to the Commission. No person shall use improper, profane, or indecent language to a racing official. No person shall in any manner, or any time, disturb the peace or make himself obnoxious on the grounds of an Association. No person shall make a handbook on a foreign book on the grounds of an Association. No person shall solicit for or bet from a handbook or foreign book on the grounds of an Association. No person or Greyhound Ruled Off, or under suspension, by any State Racing Commission, shall be knowingly admitted to the grounds of any Association. No person shall be allowed in the Judges' stand unless previous permission is obtained from the Judges. When there is more than one Greyhound track operating in the State, Greyhounds racing for one track shall not be allowed to Race at another track during the duration of the Meeting without written consent of the Judges. Any person who has been convicted by any court anywhere for illegal possession, sale or giving away of narcotics may be Ruled Off. If any Owner, Trainer, or other person solicits bets from the public by correspondence or other methods to be made on any Greyhound which is to run on a track in Rhode Island, such person or persons shall be Ruled Off. Violations of any rule will be subject to ejection from the grounds, and/or to Forfeiture, suspension, or Ruling Off. Regulations Concerning Application for Prospective Licensee Any individual or corporation seeking licensing for dog racing in Rhode Island in compliance with the Laws of the State of Rhode Island must thereafter provide the Rhode Island Racing and Athletics Commission with the following information: Name of prospective Licensee; Name or names of prospective Licensee together with the address of all interested stockholders of record or all persons having financial interest in any corporation, partnership, or joint venture which will be conducted under a business heading in



An explicit and detailed statement of financial arrangement of cost of construction and monies needed for the operation of the proposed racing plant; Applicant shall further show that a feasible study and other survey has been prepared by a qualified person or persons indicating that a plant of the kind proposed and in the location prepared will be patronized by a sufficient attendance to make dog racing commercially feasible (Provide separate study, under Exhibit No. A.); Licensee named shall provide kennelling and kennelling compounds upon its premises and must show and provide alternate kennelling within the paddock area for Programs to be presented during the Meeting; Each Licensee, individual, corporation and each stockholder of the corporation licensed shall agree to make available any information concerning criminal records, financial statements and shall agree to waive any objections to the obtaining of such information by the Rhode Island Racing and Athletics Commission: The Licensee shall exclude from the betting area all persons under the age of eighteen (18) years; The prospective Licensee shall give equal preference to Rhode Island owned Greyhounds after they have been made eligible upon Meeting official schooling requirements and such preference shall be equal to contract kennels; Licensees, either individual or by stockholders shall be prohibited from Ownership of any Greyhound or kennel operating within its licensed premises; Licensee shall provide detailed plans for security within the Licensee's premises and attended parking areas indicating the number of personnel that it deems satisfactory to enforce such security. All security plans will be authorized and approved by the Commission at the commencement of the Licensee's year. All off-track kenneling areas or locations housing Greyhounds presently licensed and racing at a licensed pari-mutuel facility shall first be approved by this Division. Owners, lessors, etc. of said areas, as well as licensed Trainers shall give expressed written consent to authorized unannounced kennel inspections by the Board of Judges, or the Division of Racing & Athletics. Section 37 Licensed Association No person under the age of sixteen (16) years shall be employed in or about the track

Rhode Island.

of any Association, except as may be permitted by the applicable Laws of the State of

- 2. It shall be the duty of each and every Association licensed by the Commission and the officers, officials and employees of said Association to observe and enforce the Rules of racing and the regulations from time to time adopted by the Commission. Any and all of said Rules and regulations may be amended, altered, repealed or supplemented by new and additional Rules and regulations, in the discretion of the Commission. Every Licensee to hold a Race Meeting is granted upon the condition that the Association therein named shall accept, observe and enforce said Rules and regulations and any amendments or additions thereto.
- 3. Each Association licensed by the Commission shall submit to the Commission a complete list of employees ten (10) Days after the first racing Day. This must contain, in additional to the names and addresses of employees, the position each one is to fill or the duties he is to perform. All additions made to or changes in the list of employees must be promptly reported to the Commission.
- 4. Each Association shall provide and equip a first aid room within its enclosure.
- 5. Each Association conducting a Greyhound racing Meeting shall keep a separate bank account to be known as the "Kennel Owners' Account" with at all times sufficient funds in such account to cover all monies due Greyhound Owners in regard to purses, stakes, rewards, and deposits. Withdrawals from this account shall be only for such purposes and said account shall at all times be subject to audit by the Commission.
- 6. Members of the Commission and its representatives shall have the right to full and complete entry to any and all points of the grounds of the Association licensed to conduct Greyhound racing in Rhode Island.
- 7. An Association, if legalized to operate parimutuel wagering, unless permitted to sell manually, shall maintain in good service a satisfactory Tote.
- 8. Photo finish camera. On all tracks a proper camera shall be installed as an aid to the racing judges; however, in all cases the camera is merely an aid, and the decision of the racing judges shall be final. The type of equipment used and its installation shall be approved by the Division. Each Association shall keep on file for three (3) years after the close of the meet each print and negative of each Race for reference or reproduction upon request of the Division. Whenever a photo finish print is called on by the judges, a print of said finish shall be posted in a conspicuous public place as promptly as possible after each race.
 - Each Association shall install at the finish line at their track, and shall adequately maintain two (2) photo-finish cameras, to be approved by the Commission, to automatically photograph the finish of races. The official photographer shall furnish promptly to the Commission a print of every photofinish.
- 9. No Association shall permit on its grounds any betting, or other operations in contravention of any law of the State of Rhode Island or of the United States.

- 10. No Association shall permit bets to be made on the grounds on any Race run outside said grounds.
- 11. Handbooking shall be prohibited on the grounds and its operators shall be excluded there from.
- 12. No gambling device, other than permitted by law, shall be permitted on the grounds. Petty games of chance are prohibited.
- During the term of disqualification of any participant in racing, it shall be the duty of the Association to see to it that the privileges of his admission badge are revoked and that he is kept out of the grounds unless otherwise permitted to enter under certain conditions and at certain times as may be provided for elsewhere in these Rules.
- 14. Each Association shall furnish to the Commission the names and addresses of all persons ejected by the Association from its grounds, together with the offense or offenses alleged against them, and any other material information relating thereto.
- 15. Any person ejected from the grounds of an Association shall be denied admission to said grounds until written permission for his re-entering has been obtained from the Association and written notification of such permission shall forthwith be filed with the Commission
- 16. A person ejected from the grounds of an Association licensed by the Commission shall be refused admission to the grounds of all other licensed Association in Rhode Island until he has been permitted to re-enter the track where he was originally ejected.
- 17. Purse money shall not be paid to the winners thereof earlier than forty eight (48) hours (Sundays excluded) following their winning.
- 18. No percentage of winnings shall be deducted by an Association for itself or for another person, club or body, unless at the request of the person to whom such winnings are payable and except that an Association may withhold from the winnings any money due it.
- 19. Every Association shall carry on its books an account which shows the total amount due on outstanding unredeemed mutuel tickets which represents the winning tickets not presented for payment. A record of all unpaid pari-mutuel tickets shall be prepared and forwarded to the Commission within thirty (30) Days after the last Day of each Race Meeting.
- 20. Every Association shall at all times maintain a position of current liquidity adequate beyond question to pay said outstanding tickets.

- 21. Each Association shall provide within its grounds an adequate office for the use and to be at the disposal of the Commission and all of its officials.
- 22. Each Association licensed by the Commission shall notify the Commission prior to the opening of the Meeting of the name of the person or persons who will make the selections as they appear in the Program Any change in the person or persons designated by the Association shall be promptly reported to the Commission.
- 23. Each Association licensed by the Commission shall notify the Commission prior to the opening of the Meeting the names of all so called "tip sheets" permitted by the Association to be sold on the property of the Association; the names of all persons having beneficial interest in each such "tip sheet"; the price to be charged to the public and the name of the handicapper or selector of each such "tip sheet." Daily newspaper sold on the property of the Association and containing selections in the various Races held, shall not, within the meaning df this rule be considered as a "tip sheet."
- 24. Every employee of the Pari-Mutuel Department who, by nature of his employment comes in contact with patrons, shall be designated by name or number, that easy identification may be made by the public.
- 25. No minor shall be allowed to place or collect a wager and every employee of the Pari-Mutuel Department shall be so instructed by the Association.
- 26. Each Association shall provide signs reading "NO RACE" and "REFUND" placed in a prominent position, readable to the public attending, to be displayed when the Judges have ruled "No Race."
- 27. Each Association shall keep and maintain during its Meeting a card index system of identification of each Greyhound racing for the Association. The cards shall show the name of the Owner, Authorized Agent and Trainer, breeding, weight, color, sex and the characteristic markings and scars and other identification features not above named. The cards shall be completed and filled out by the Paddock Judge.
- 28. Associations licensed to conduct "dog racing Meetings" shall confine all races to recognized Greyhound racing.
- 29. It shall be the duty of each Association to install and maintain in good working order a suitable telephone system between the Judges; Stand and the office of the Pari-Mutuel plant, the Odds Board, the Paddock Office and the Lure Operator's tower.
- 30. It shall be the duty of each Association to see that all Owners, Authorized Agents,
 Trainers and assistant Trainers, are licensed before any Greyhound in which they hold
 any interest or which they train is allowed to Race and it shall be the duty of each
 Association to see that the application for license is filed and the prescribed fee is paid
 to the Commission.

Section 38 <u>Licenses and Registrations</u>

Kennel Name

1. The following persons shall be required to take out a license from the Commission and the annual fee shall be as follows:

	Licenses	
		Fee
Owner		\$5.00
Trainer		\$5.00
Assistant Trainer		\$5.00
Authorized Agent		\$5.00
C		
	Registrations	

\$10.00

- 2. The fee shall accompany each application for license or registration. All licenses and registrations expire on December 31st of the year of issue.
- 3. All applications for licenses and registrations to participate in racing shall be made to the Commission on forms supplied by the Commission.
- 4. All applications shall be submitted first to the Judges. In considering each application for a license the Judges may require the applicant, as well as his endorsers, to appear before them and show that said applicant is qualified in every respect to receive the license requested. Ability as well as integrity must be clearly shown by the applicant in order to receive the Judges' recommendation for the granting of the license.
- 5. Before recommending any application for a license it shall be the duty of the Judges, individually and collectively, to ascertain if the applicant is qualified as to the ability, integrity and right to the license applied for.
- 6. No application for a license or registration shall be recommended by the Judges and no license or registration will be issued by the Commission unless satisfactory evidence first is presented to the Judges that the person so applying will participate in the Meeting over which the Judges have supervision.
- 7. The Commission may refuse to license any applicant who has been refused a license by any other State Racing Commission.
- 8. The Commission may refuse to license any applicant whose previous conduct in Rhode Island or elsewhere in connection with racing is considered by the Commission to have been objectionable, obnoxious or detrimental to the best interest of racing.

- 9. The Commission may revoke any license if the holder of the same has violated any rule or regulation of the Commission governing his conduct in connection with racing, or where such conduct is objectionable, obnoxious or detrimental to the best interest of racing.
- 10. No application for a license will be considered for or granted to a person under eighteen (18) years of age.
- 11. All licenses granted shall be subject to the conditions set forth in the application therefor and the Commission shall have full discretion to suspend or revoke the same for any infraction of the conditions of the application of license or the Rules of Greyhound Racing as adopted by the Commission.
- 12. When an Ownership is in the name of both husband and wife, the husband only shall be licensed.

13. Other licenses.

- (1) Any person, firm, Association, partnership or corporation that will provide totalizator equipment or services at a licensed facility, or in conjunction with a racing Meeting shall obtain a totalizator license from the Division.
- (2) <u>Concessionaire license:</u> Any person or business or organization, or corporation that will operate any concession at a licensed facility or in conjunction with a racing Meeting shall obtain a concessionaire license from the Division.
- (3) Occupation license: The following persons connected with the licensed Association, concessionaire, totalizator or racing kennel shall obtain occupational licenses from the Division:
 - (A) All officers, directors partners and trustees, whether located in or out of the State.
 - (B) Any stockholder, key executive, agent or other person who, in the judgement of the Director, or his designee, will exercise control in or over any such Licensee.
 - (4) The Division shall issue licenses pursuant to the General Laws of the State of Rhode Island, and the Rules of this Division; all licenses so granted shall be effective only in the calendar year in which said license was issued.

Section 39 Dates and Times for Application

During the calendar year 1977, the Commission will accept application for racing Meeting dates from the Licensee authorized to conduct Greyhound racing without regard to the closing dates,

and shall merely comply with R.I. Gen. Laws § 41-2.1-4(1). However, for each year succeeding the Licensees shall request the application on or before the 15th Day of November for dates for the succeeding year and the Commission shall act on such application on or by December 28 of that year for the issuance of dates for the succeeding year.

Section 40 Amendments to Dog Racing Rules and Regulations

1. <u>Assistant Trainers</u> The Commission will license and collect a fee of \$5 for licensing of an individual to assist a licensed Trainer in the care and handling of dogs *wi*thin each kennel. There shall be no more than two (2) assistant Trainers for each kennel and no less than ten (10) dogs for the first assistant Trainer in said kennel.

Nothing herein or hereafter stated shall negate the responsibility of a licensed Trainer for the care and protection for the Greyhounds within his care; and the employment of an assistant Trainer shall not dismish the Trainer's duties of insuring the condition of the Greyhound in his care, custody and control.

- 2. Badge Identification Each Licensee conducting Greyhound racing within the jurisdiction of this Commission shall institute and maintain an identification system by virtue of their occupation or require on the licensed premises and such license premises shall include the kennel areas. Such identification system shall:
 - 1. A tamper proof badge containing the name of the track; the year of issue; photograph of the individual to whom issued; his name, his position or occupation; the signature of said individual; the date the badge was issued; and any other pertinent information which the Commission may require.
 - 2. The identification badge shall be laminated both front and back and shall be of a type which will provide for a clasp. A clasp shall be attached to every badge issued to provide that it may be worn in plain view.
 - 3. Said badges shall be issued to all employees of the Licensee, and shall include Owner, Trainer, assistant Trainer, kennel personnel, mutuel employees, concessionaires, and their employees, all state racing Commission personnel whose duties require their presence on the licensed premises as well as all other people determined by the Board of Judges operating a Meeting in progress.
- 3. <u>Fingerprinting</u> Each Licensee shall cause to be fingerprinted, at its own expense, all of the individuals mentioned in the aforegoing rule. Said prints shall be transmitted by the Racing Commission to the Bureau of Criminal Identification and the Commission and/or its designee shall maintain such fingerprint files.
- 4. Each Licensee shall file with the Commission weekly a list showing the names of the individuals to whom badges have been issued, the date of issue, and the position or occupation of each individual.

- 5. Each Licensee will deny admission to the kennel area any person not wearing appropriate Identification Badge. Each person, whose duties or occupation requires his presence in the kenneling area shall wear his Identification Badge on his outer apparal, clearly in open view, at all times when within the kenneling area.
- 6. All persons, including the Licensee, its employees, and all licensed personnel, as well as all State personnel, shall wear on the outer apparal said Photo-identification badge at all times when upon the licensed premises and failure to maintain the badge on his person in the appropriate location will result in a fine as determined by the Commission.

Section 41 Pari-Mutuel Rules and Regulations

- 1. Every licensed Association shall operate its own Pari-Mutuel Department, and in no event shall subcontract or let to concessionaires the operation of the Pari-Mutuel Department or any part thereof.
- 2. No mutuel tickets shall be paid off at less than \$1.10 for each \$1.00 wagered. The infield board shall indicate the amount due on each \$2.00 winning ticket.
- (A) All pari-mutuel ticket sales shall be for cash only, at time of said sale.
- 3. The Licensee shall at all times keep accurate books and records of all moneys wagered on each Day of a racing meet and of the moneys due the State of Rhode Island to be paid to the Commission under the provisions of this section. The Commission, or its duly authorized representative or representatives shall at all reasonable times have access to such records for the purpose of examining and checking the same and ascertaining whether or not the proper amount of moneys has been, or is being paid as herein provided. The Commission may also require from time to time verified reports and statements of the total of all moneys wagered daily at Race Meetings upon which the taxes, fees or imposts are assessed, as well as statements of breakage or under payments, and may prescribe blanks upon which such reports or statements shall be made.
- 4. The Commission does appoint the Supervisor of Greyhound Racing, a representative to audit and report on the conduct of the Mutuel Department during each Race Meeting. The Supervisor of Greyhound Racing, as well as a member or members of the Commission, shall be given free access to all of the books, papers and records of the Association and to any room or enclosure of the Association at any and all times.
- 5. The officers and employees of the Association shall promptly give said Supervisor of Greyhound Racing, or any member or members of the Commission, such information as he, or they, may request from time to time, and shall freely and fully cooperate with him in every way so that the Commission may be assured that the Mutuel Department is being properly and efficiently operated in strict accordance with the Law and the Rules and regulations of the Commission.

He shall be empowered to direct the Association to adopt, subject to the approval of the Commission, such Rules and regulations and to install such methods and systems of operating the Mutuel Department as may be deemed necessary so as to insure compliance with the Law and the Rules and regulations of the Commission. The Supervisor shall report to the Commission any failure of the Licensee to comply with the provisions hereof or any violation of the Law or any of the Rules and regulations of the Commission which may come to his attention, including in his reports, recommendations with respect to the revocation of the licenses of any employee of the Association for failure to comply with the Rules and regulations of the Commission, or for fraud, dishonesty or incompetency.

- 6. A Licensee's Mutuel Department, at every Race Meeting, must be conducted in a strict, dignified and proper manner. All pari-mutuel selling machines must be located only in places easily accessible and in plain view of the general public. The Association at all times shall endeavor to procure employees therein of intelligence. All employees coming in contact with patrons must at all times demean themselves in respectful and temperate fashion.
- 7. Every employee of the Mutuel Department, who by nature of his employment comes in contact with patrons, shall be designated by name or number, that easy identification may be made by the public.
- 8. The Licensee shall install, maintain in operable condition, and operate continuously during each Meeting an electric totalizer unless written consent has been received from the Commission to operate a mutuel system or any specific pool thereof without the approved totalizator.
- 9. Any new Race Track Association shall have such a totalizator completely installed and submit to a practical test prior to the opening of its Race Meeting, or at such time as the Commission may direct, said test to be made under the supervision and direction of the Rhode Island Racing Commission or such agents as the Commission may appoint. The license to conduct a Race Meeting shall not be issued until such test has been made, and said totalizator and its operation conforms to the requirements of these Rules and meets the approval of the Rhode Island Racing Commission.
- 10. Such totalizator shall be designed so that the ticket machines shall be automatically locked by the Stewards or Judges by the pressing of a button ringing what is commonly known as the "off bell."
- 11. The Presiding Steward or Presiding Judge or his appointed official shall push the button that closes the pari-mutuel machines, and shall see to It that every effort in the racing operation is made by all departments to meet advertised Post Time.
- 12. The public will be granted permission to inspect or inquire about any pool or pools regarding pari mutuel wagering any time after the Race has been declared official.

- Carbon copies of all take-off sheets from the totalizator, or otherwise, shall be delivered at once to the Supervisor of Greyhound Racing.
- 13. The supervisor calculator of the approximate odds shall keep a complete detailed record of each race, containing each change of readings of odds, the percentage figures on the final reading and the actual possible "pay-off" on each Greyhound; and such records shall be filed with the licensed track operator at the end of each racing Day.
- 14. Any faulty operation of the totalizator or infield board shall be explained in detail in a written report by the "Tote Technician," and a copy of said report given to the Manager of Mutuels and to the State Supervisor of Greyhound Racing without delay, and said report shall be immediately communicated to the Racing Commission.
- 15. Whenever the totalizator mechanism fails and is unreliable as to the amounts wagered, all figures on the "Tote Board," so affected shall be removed immediately and the "Pay off" shall be computed on the sums wagered in each pool as shown by either operating computer or as determined by the last cycle "print-out" before the failure.
- 16. Whenever there is a difference in any pool, or pools, i.e.: a difference between the sum total of the wagers on individual Greyhounds as compared with the grand total as shown by the "Tote Board," the larger amount shall be used as the base for computing the payoff. The said larger amount shall also be used as the base on which the commissions are computed and paid to the Association and to the State, respectively.
- 17. If an error is made in posting the pay off prices on the Public Board, it shall be corrected promptly and the public shall be so advised of the correction by announcement over the Public Address System; and the correct amounts so announced shall be used in the payoff, irrespective of the amounts posted on the Public Board.
- 18. Methods of Calculating Dead Heats:
- 18A. In the event of a dead heat in the straight pool, the pay-off price shall be figured as in a place pool.
- 18B. In the event of a dead heat for second in the place pool, the winner of the Race receives its half share of the profits in that pool; and each of the two Greyhounds that dead heat for second receives one half of the remaining half of the profits.
- 18C. In the event of a dead heat for third place (show), the first and second Greyhounds each receive a normal one third of the profits in that pool; and the two Greyhounds that dead heat for third Place shall each receive one half of the remaining one third of the profits.
- 19. There will be no "Entries" in Greyhound racing.
- 20. There will be no "Fields" permitted in Greyhound racing.

- 21. If no Greyhound finishes in a Race, all money wagered in that Race shall be refunded.
- 22. If a Race is Declared off by the officials after wagering begins on that Race, all money wagered on that Race shall be refunded.
- 23. If a Greyhound wins and there is no money wagered on him "to win," the straight pool shall be apportioned among the holders of the "Place" tickets on the Greyhound finishing first, if any; otherwise among holders of the "show" tickets on the Greyhound finishing first.
- 24. If no money has been wagered "to place" on a Greyhound which is placed first or second in a race, the "Place pool" for that Race shall be apportioned among holders of the "place" tickets on the other Greyhound which was "placed" first or second.
- 25. If no money has been wagered "to show" on a Greyhound which is "Placed" first, second, or third in a race, the "Show" pool in that Race shall be apportioned among the holders of "Show" tickets on the other Greyhounds which are "Placed" first, second or third in that race.
- 26. If only one (1) Greyhound finishes in a Race, the "Place" and "Show" pools shall be apportioned among the holders of the "Place" and "Show" tickets on that Greyhound.
- 27. If only two (2) Greyhounds finish in a Race, the "Show" pool shall be apportioned among the holders of "Show" tickets on those two Greyhounds.
- 28. If less than six (6) interests qualify Greyhounds to start in a Race, the Manager of the PariMutuel Department shall be permitted to prohibit "show" wagering on that Race.
- 29. If less than five (5) interests qualify Greyhounds to start in a Race, the Manager of the Pari-Mutuel Department shall be permitted to prohibit both "Place" and "Show" wagering on that Race.
- Every Association licensed by this Commission shall prohibit persons under the age of eighteen (18) years to place or collect a wager. Said Association shall turn over to the proper civil authorities any person who violates this rule, to be punished, upon conviction of any such violation, according to Law.
- 31. The above rule shall be posted conspicuously entrance gates and throughout wagering areas. The license of any employee participating in any transaction relative to wagering with persons under the age of 18 years may be summarily Suspended or revoked. Any Association failing to enforce this rule may be subject to fine or revocation of license.
- 32. Any claim by a person that a wrong ticket has been delivered to him must be made before leaving the mutuel window. Claims will be paid only upon presentation of a

- winning ticket, and no claim will be considered, or payment made, upon tickets thrown away, lost, changed, mutilated or destroyed.
- 33. Any complaint, no matter how slight, shall immediately be referred to the "Information Window," to be conveniently located on each "betting line." A report of each complaint shall be delivered to the State Supervisor of Greyhound Racing in writing, on forms prescribes by the Racing Commission, setting forth the nature of the complaint, name of complainant, person or persons complained against, and the disposition of the complaint or action taken. Should the person or persons handling the complaint be unable to satisfactorily appease the complainant, he shall immediately secure the presence of a superior, and if possible, a representative of the Rhode Island Racing Commission.
- 34. Should an emergency arise in connection with the operation of the Pari Mutuel Department not specifically covered by these Rules and an immediate decision be necessary, the Manager of the PariMutuel Department shall make the decision and shall make an explanation in detail in a written report to the State Supervisor of Greyhound Racing immediately, and said report shall be forthwith communicated to the Commission.

35. DAILY DOUBLE

- 35A. "Daily Double" shall not be construed to mean or include "Twin Double" and "Twin Double" shall not be construed to mean or include "Daily Double."
- 35B. The "Daily Double" is not a "parlay" and is, in effect, a contract by the purchaser of a "Daily Double" ticket to select the winner of each the first and second races.
- 35C. Before Post Time of the second half of the "Daily Double," there shall be posted on the Public Board and announced to the public the "pay off" on each combination coupled with the winner of the first half of the "Daily Double."
- 35D. If no ticket is sold combining the two winners of the "Daily Double," the total money is figured as a "Place pool," with those who have picked "the winner" of the first Race of the "Daily Double," and those who have picked "the winner" of the second Race of the "Daily Double" participating in the pool.
- 35E. If no ticket is sold on the winner of the FIRST Race of the "Daily Double," the entire pool is apportioned to the holders of tickets on the winner of the SECOND Race of the "Daily Double."

- 35F. Likewise, if no ticket is sold on the winner of the SECOND Race of the "Daily Double," the entire pool is apportioned to the holders of tickets on the winner of the FIRST Race of the "Daily Double."
- 35G. Should no ticket be sold containing the numbers of either winner, the pool shall be allotted to those having tickets on Greyhounds finishing next to the winners.
- 35H. Should no ticket be sold that would require distribution of the Daily Double Pool to a winner under subsections (D.), (E.), (F.) and (G.) of rule 35, a full and complete refund of the pool shall be made.
- 35I. If a DEAD HEAT should result in either the first or second Race of the "Daily Double," the total pool is figured as a "Place pool."

EXAMPLE: Should Numbers 8 and 5 dead heat in the first Race of the "Daily Double," and Number 3 win the second, the pool would be divided and aportioned to tickets bearing Numbers 8 and 3, and 5 and 3.

- 35J. In the event the first half of the "Daily Double" is cancelled or declared "no Race" the entire net pool shall be apportioned to holders of tickets which include the winner of the second half of the "Daily Double."
- 35K. In the event the second half of the "Daily Double" is cancelled or declared "no Race" the entire net pool shall be apportioned to holders of tickets which include the winner of the first half of the "Daily Double."
- 35L. In the event any Greyhound or Greyhounds, be Scratched, excused by the Stewards or Judges, or prevented from racing because of the failure of the stall doors or the starting gate to open, or the doors of the starting box in the first half of the "Daily Double," all tickets including such Greyhounds shall be deducted from the "Daily Double" pool; and a refund will be made to holders of tickets purchased on the Greyhound or Greyhounds so Scratched or excused.
- 35M. In the event any Greyhound, or Greyhounds, be Scratched, excused by the Stewards or Judges or prevented from racing because of the failure of the stall doors of the starting gate to open, or the doors of the starting box in the last half of the "Daily Double," all tickets including such Greyhound shall be deducted from the "Daily Double" pool; and the pool, or pools, thus formed shall be distributed as a straight pool, or pools, to the holders of tickets combining the winner of the first half with the Greyhound so prevented from completing the "Daily Double."

36. QUINIELA POOL

- 36A. In case of a DEAD HEAT between two (2) Greyhounds for first Place, the combination shall be the winner of the quiniela pool. In case of a dead heat between two (2) Greyhounds for second Place, the quiniela pool shall be figured as a place pool, the holders of tickets combining the winner Greyhound and the two Greyhounds finishing second participating in the pay-off.
- 36B. In the event of dead heat for second Place, if no ticket is sold on one of the two winning combinations, then the entire net pool shall be calculated as a straight pool and distributed to those holding tickets on the winning combination.
- 36C. If no ticket is sold on the winning combination of a quiniela pool, the net pool shall then be apportioned equally between those having tickets including the Greyhound finishing first and those having tickets including the Greyhound second, in the same manner in which a place pool is calculated and distributed.
- 36D. If no ticket is sold that would require distribution of a quiniela pool to winners, as above defined, the Association shall make a complete and full refund of the quiniela pool.
- 36E. Quiniela and Perfecta wagering shall be permitted on Greyhound Racing.
- 36F. In the event any Greyhound or Greyhounds be Scratched, excused by the Stewards or Judges, or prevented from starting because of failure of the stall doors of the starting gate to open or the doors of the starting box to open, all money wagered on such a Greyhound or Greyhounds so Scratched shall be promptly refunded.

37. PERFECTA POOL

- 37A. The PERFECTA (also known as EXACTA or CORRECTA) is a contract by the purchaser of a ticket combining two Greyhounds in a single race, selecting the two Greyhounds that will subsequently finish first and second in that Race. Payment of the ticket shall be made only to the purchaser who has selected the same order of finish as officially posted.
- 37B. The "perfecta" is not a parlay and has no connection with or relation to the win, place and show betting and will be calculated as an entirely separate pool.
- 37C. If no ticket is sold on the winning combination of a "perfecta" pool, the net pool shall be distributed as a place pool between holders of tickets selecting the winning Greyhound player to finish first and/or holders of tickets selecting the second Place Greyhound player to finish second.

- 37D. If no ticket is sold that would require distribution of a "perfecta" pool to winner as above defined, the Association shall make a complete and full refund of "perfecta" pool.
- 37E. In case of a dead heat between two (2) Greyhounds for first place, the net "perfecta" pool shall, be calculated and distributed as a place pool to holders of tickets of the winning combination. In case of a dead heat between two (2) Greyhounds for second place, the "perfecta" pool shall be figured as a Place pool, the holders of tickets combining the winning Greyhound and the two (2) Greyhounds finishing second participating in the pay-off.
- 37F. In the event of a dead heat for second place, if no ticket is sold on one of the two winning combinations, the entire net pool shall be calculated as a win pool and distributed to those holding tickets on the other winning combination. If no tickets combine the winning Greyhound with either of the Place Greyhounds in the dead heat, the "perfecta" pool shall be calculated and distributed as a Place pool to the holders of tickets representing any interest in the net pool.
- 37G. In the event any Greyhound or Greyhounds be Scratched, excused by the Stewards of Judges, or prevented from starting because of failure of the stall doors of the starting gate to open or the doors of the starting box to open, all money wagered on such a Greyhound or Greyhounds so Scratched shall be promptly refunded.

38. TRIFECTA POOL

An Association licensed by the Rhode Island Racing Commission to conduct Greyhound racing Meetings, may conduct a Trifecta, subject to the following Rules:

- 38A. The "trifecta" pool is a part of the pari-mutuel system of wagering. It is a contract between the purchaser of a ticket and the Association, combining three (3) Greyhounds in a single race, selecting the three Greyhounds that will finish first, second and third in that Race in exact order.
- 38B. "Trifecta" tickets shall be sold in not less than \$2.00 denominations and only from machines that issue "trifecta" tickets.
- 38C. The design of "trifecta" tickets shall be clearly and immediately distinguishable from other pari-mutuel tickets.
- 38D. The "trifecta" is not a "parlay" and has no connection with or relation to the win, place or show betting, and will be calculated in an entirely separate pool.

- 38E. If a Greyhound is Scratched or declared a non-Starter, no further "Trifecta" tickets may be issued designating such a Greyhound, and all tickets previously issued designating such a Greyhound shall be refunded and money refunded from the gross pool.
- 38F. If no ticket is sold on a winning combination of a "trifecta" pool, the net pool shall then be apportioned equally between those having tickets selecting the first and second Place Greyhounds. If no ticket is sold selecting the first and second Greyhounds in the "trifecta" pool, the net pool shall then be apportioned equally between those having tickets selecting the Greyhound or Greyhounds that finished first in the "trifecta" Race.
- 38G. Should no selection be made as above mentioned, those having selected the second Greyhound for second and third Greyhound for third with any other Greyhound shall divide the net pool and be paid the same pay-off price.
- 38H. If no ticket is sold that would require distribution of the net "trifecta" pool to a winner as above defined, the Association shall make a full refund of the "trifecta" pool.
- 38I. In case of a dead heat for the first place, the winning combinations shall include the first two (2) Greyhounds as finishing in either position, and the Greyhound finishing third. In case of a dead heat to place, the winning combinations shall be the Greyhound finishing first and the two Greyhounds finishing in a dead heat for place, as finishing in either position. In case of a dead heat for third, the winning combinations shall be the Greyhound finishing first, the Greyhound finishing second and the two (2) Greyhounds finishing in a dead heat for third, as finishing in either position. All combinations as described above shall be divided into separate pools and calculated as a place pool and paid off accordingly.
- 3EJ. In case of a triple dead beats or double dead beats, contrary to the win, place and show practice all such combinations thus formed will be aggregated into one common pool, and all such combinations will be calculated as a win pool and paid off accordingly.
- 38K. There will be no entries or fields in "Trifecta Wagering."

39. TWIN DOUBLE:

39A. The Twin Double is a part of the parimutuel system of wagering. It is a contract by each participant to pick (select) the winner of each of four Races as may be designated by the Association with the approval of the Commission. For

the purpose of this rule, such four (4) races will be referred to as the "Twin Double Races." The first two Races so designated shall be referred to as the first half of the Twin Double. The third and fourth Races so designated shall hereafter be referred to as the second half of the Twin Double. All sums desposited in the Twin Double shall be held in a single pool entirely separate from all other pools, and the award or dividend to each winning participant shall be calculated, subject to the provisions of this rule as in a Straight Pool.

- 39B. The sale or purchase of Twin Double tickets or attempting to aid or abet in the sale or purchase of Twin Double tickest through solicitation of Patrons attending, other than through the parimutuel machines is strictly forbidden. Violators of this rule shall be denied admission to all tracks
- 39C. Each participant in the Twin Double shall designate his selections as the winners of the first half of the Twin Double races at the time he purchases his Twin Double ticket and each Twin Double ticket shall show the selections so made.
- 39D. After the completion of the first half of the Twin Double races, each participant holding a ticket or tickets combining the winners of these two (2) Races must, during the period while the Twin Double windows are open for this purpose, exchange such ticket or tickets for a Twin Double exchange ticket showing his selections as the winners of the second half of the Twin Double.
- 39E. No Twin Double exchange ticket shall be issued except upon surrender of a Twin Double ticket showing the winners of the first half of the Twin Double.
- 39F. Twin Double windows shall be open for the issue only of Twin Double exchange tickets after the races comprising the first half have been completed and declared official—and shall close at official Post Time of the start of the second half of the races comprising the Twin Double.
- 39G. Before Post Time of the second Race of the second half of the Twin Double races there shall be posted or announced over the loudspeaker system, the pay off of each combination coupled with the winners of the first Race of the second half of the Twin Double races. All such information shall be posted.
- 39H. Any winning Twin Double tickets, not presented for exchange for a Twin Double exchange ticket within the period prescribed in Paragraph F herein may be presented for a refund of the purchase price (or award in the event that no participant selects the winner of the first Race of the second half of the Twin Double races) after completion of the first Race of the second half of the Twin Double. The aggregated jurchase price of all Twin Double tickets so entitled to refund shall be deducted from the gross Twin Double pool.

39I. Inasmuch as the purpose of the Twin Double is to select the winners of the first half and the second half of the Twin Double races, a participant who selects a number which runs in but does not win a Race is no longer eligible subject only to the contingency that no other participant has selected the winner of that Race.

39J. If a number is Scratched in the first Race of the first half of the Twin Double, all tickets on the Scratched number will be refunded and the money so refunded will be deducted from the gross pool.

39K. If a number is Scratched in the second Race of the first half of the Twin Double, after the running of the first Race of the first half, all Twin Double tickets combining the Scratched number with the actual winner of the first Race of the first half of the Twin Double shall be paid a price equivalent to that fraction of the net pool by the total purchase price of all tickets combining the winner of the first Race of the first half of the Twin Double with all the numbers in the second Race of the first half of the Twin Double. The total pay-off of all tickets combining the winner of the first Race of the first half of the Twin Double with the Scratched number in the second Race of the first half of the Twin Double as determined by the method set forth in this rule shall be deducted from the net Twin Double Pool.

39L. If a number is Scratched in the first Race of the second half of the Twin Double during or after the exchange of tickets by the holders of the first two (2) winners, each ticket including the Scratched number in the first Race of the second half of the Twin Double shall be paid a price equivalent to that fraction of the net pool derived by dividing the net pool by the total number of tickets exchanged. The total pay off of all tickets combining the winners of the first half of the Twin Double with the Scratched number in the first Race of the second half of the Twin Double as determined by the method set forth in this rule shall be deducted from the net Twin Double Pool.

39M. If a number is Scratched from the second Race of the second half of the Twin Double during or after the exchange of tickets by holders of the first two (2) winners, each ticket including the Scratched number in the second Race of the second half of the Twin Double combined with the winner of the first Race of the second half of the Twin Double shall be paid a price equivalent to that fraction of the net pool derived by dividing the net pool by the number of exchange tickets including the winner of the first race, second half of the Twin Double. The total pay off of all tickets combining the winners of the first half of the Twin Double and the winner of the first Race in the second half of the Twin Double with the Scratched number in the second Race of the second half of the Twin Double, as determined by the method set forth in this rule, shall be deducted from the net Twin Double Pool.

39N. If no ticket is sold combining the winner of the first and winner of the second Race of the first half of the Twin Double, the net pool shall then be apportioned between those having tickets including the winner of the first Race of the first half of the Twin Double and those having tickets including the winner of the second Race of

the first half of the Twin Double and shall be calculated and distributed as a Place Pool. If this should happen the pool is closed for the Day.

- 390. If no ticket is sold including the winner of the first Race of the first half of the Twin Double then the entire net pool will be paid to the holders of tickets which include the winner of the second Race of the first half of the Twin Double. If this should happen the pool is closed for the Day.
- 39P. If no ticket is sold including the winner of the second Race of the first half of the Twin Double then the entire net pool will be paid to the holders of tickets which include the winner of the first Race of the first half of the Twin Double. If this should happen the pool is closed for the Day.
- 39Q. If no exchange ticket includes the winner of the first Race of the second half of the Twin Double, the entire net pool shall be distributed as a straight pool to the holders of the exchange tickets and the winning combinations of the first half that had not been exchanged.
- 39R. If no ticket combines the winners of the first and second races of the second half of the Twin Double then the entire pool shall be distributed as a straight pool to all holders of tickets including the first Race of the second half winner.
- 39S. In the event of a dead heat in the first Race of the first half of the Twin Double, all tickets combining both winners of the first Race of the first half with the winner of the second Race of the first half of the Twin Double shall be eligible for exchange.
- 39T. In the event of a dead heat in the second Race of the first half of the Twin Double, all tickets combining the winner of the first Race of the first half of the Twin Double with the winners of the second Race of the first half of the Twin Double shall be eligible for exchange.
- 39U. In the event of a dead heat in the first Race of the second half of the Twin Double and there is no ticket combining either winner with the winner of the second Race of the second half of the Twin Double then the pay-off shall be distributed to holders of tickets on the first Race of the second half winners in the same manner in which a Place pool is calculated.
- 39V. In the event of a dead heat in the second Race of the second half of the Twin Double, the pay off shall be distributed as a place pool to holders of tickets combining the winners of the second Race of the second half with the winner of the first Race of the second half.
- 39W. In the event of a dead heat in the second Race of the second half of the Twin Double in which no tickets have been sold on one of the two winners, only

the holders of tickets on the other winning number, shall participate in the Twin Double Pool.

- 39X. If, for any reason the first Race of the first half of the Twin Double is cancelled or declared "no Race," full and complete refund shall be made of the Twin Double Pool.
- 39Y. If, for any reason the first Race of the second half of the Twin Double is cancelled or declared "no Race," the net pool shall be calculated as a straight pool and shall be distributed to the holders of tickets combining the winner of the first Race of the first half of the Twin Double with every number in the second Race of the first half of the Twin Double.
- 39Z. If, for any reason the first Race of the second half of the Twin Double is cancelled or declared "no Race," the net Twin Double Pool shall be distributed as a straight pool among the holder of Twin Double exchange tickets and the holders of winning Twin Double tickets not surrendered for exchange for Twin Double exchange tickets.
- 39AA. If, for any reason the second Race of the second half of the Twin Double is cancelled or declared "no Race," the net Twin Double Pool shall be distributed as a straight pool among the holders of Twin Double exchange tickets designating the winner of the first Race of the second half of the Twin Double.

Section 42 Pick-Six Wagering

- (a) <u>Rules Governing:</u> At tracks which have the pick-six pool, the rules of this section will govern the system used.
- (b) <u>Permitted:</u> <u>Pick-six wagering shall be permitted only in accordance with this section.</u> An Association may not hold more than one pick-six in a single performance.
- (c) <u>Rules Prominently Displayed:</u> Rules for the pick-six shall be prominently displayed within the facility and pertinent portions thereof shall be printed in all Programs.
- (d) <u>Definition:</u> The pick-six is a form of pari-mutuel wagering in which the purchaser of a ticket selects in order the winners of six consecutive races designated and, advertised a pick-six race.
- (e) <u>Separate Pools:</u> The pick six has no connection with any other pool. All tickets will be calculated in an entirely separate pool.
- (f) <u>Program Numbers:</u> <u>Selections are made by Program numbers only.</u> These represent the Post Positions of the Greyhounds.

- (g) Scratched or Excused Greyhounds: In the event a Greyhound has been Scratched from the pick-six races between the time a customer has placed their pick-six wager, and off time for the first Race of the pick-six races, the first Race of the pick-six will be delayed until such time as the customer has had an opportunity to void their tickets with the Scratched Greyhound and make new selections.
- (h) <u>Late Scratched Greyhounds</u>: In the event of a late Scratch after cessation of wagering on the pick six for that performance, as per Judges' orders, and the Scratched Greyhound has been selected to win on pick six tickets, it may in some cases cause a consolation payoff to those persons having the Scratched Greyhound amongst their selections. One half of the amount representing the purchase price of the ticket with the Scratched Greyhound shall be deducted from each of the pick-six pools. All of the deducted monies shall be then put into a net consolation pool.

If the withdrawn tickets with the Scratched Greyhound do not qualify for the pick-six consolation pool, they may qualify for the late "Scratched consolation pool" which will be paid to those ticket holder(s) selecting the most winners and the Scratched Greyhound.

Example: On a \$2.00 straight ticket, which includes a late Scratch racer as one of the Race selections, one dollar shall be deducted from the pick-six pool and the other dollar deducted from the jackpot pool, thereby creating a "Scratched consolation pool."

(i) Calculation and Distribution of a Pool:

- 1. The net pick six pool for a performance shall be divided in half, one half representing the consolation pool and the other half added to the jackpot pool.
- 2. The combined consolation and jackpot pools shall be paid to purchasers of tickets designating all six winners in the pick six.
- 3. Should no pick-six tickets be sold in a pool designating all six (6) winners, the consolation pool shall be distributed to the holder(s) of tickets designating the highest numbers of winners, and the jackpot pool shall be carried over from pic-six performance to pick-six performance until any of following occurs:
 - (A) A pick-six ticket is sold designating all six (6) winners in a pick-six pool.
 - (B) The maximum amount permitted to accumulate in a pick-six pool pursuant to subsection (i) is reached during a performance in which no tickets listing the winners has been issued. When this occurs the value of the jackpot pool reached during that performance will be carried over from performance to performance until either a winning pick-six tickets (Naming all six winners) has been issued or until the last performance of the last Meeting in any calendar year has been completed. (See Section Below) While this maximum jackpot pool is being carried over, the consolation pool shall continue to be awarded as above.

(C) The Last pick-six Race of the last Meeting in any calendar year is concluded, in which case the jackpot pool, and the net performance's pick-six pool shall be distributed to the holder(s) of tickets designating the highest number of winners.

If no further pick-six contests are scheduled or planned to be held at said facility, a special Race shall be held upon permission from the Director of Pari-Mutuel Wagering, and the carried over jackpot and seed pools, if any, and the net total performance's pick-six wagers shall be distributed to the holder(s) of tickets designating the highest number of winners.

(j) <u>Pool Limitation:</u> The Commission shall set a maximum value of \$100,000 to which the jackpot pool may accumulate. Where this maximum value is reached during the wagering on a pick-six performance, wagering on that performance may continue, but the maximum value set by the Commission may be exceeded by only a maximum of one half the amount wagered on the pick-six after the maximum jackpot pool value has been attained. Pick-six distribution is then effected as under section (i) above.

As an illustration, suppose the Commission set a maximum pool value of \$100,000, and on a particular Day the carried over jackpot pool is equal to \$90,000. Net Wagering on the pick-six that Day amounts to \$40,000. The jackpot pool is then \$110,000 and the consolation pool is \$20,000. If no one picks all six (6) winners of the pick-six games, then the \$110,000 jackpot is carried over. At the next performance suppose a net of \$40,000 is again wagered on pick-six, in this event, the jackpot pool will remain at \$110,000. If no one picks all six (6) winners, the total net pool of \$40,000 will be distributed to the holder(s) of tickets designating the highest number of winners.

(k) <u>Cancellation of Races:</u>

- (1) If three (3) or more of the designated pick six Races are cancelled or declared by the judges no Race, the Association shall make a complete refund of the pick six pool upon surrender of the pick-six tickets purchased.
- (2) If one or two of the designated pick-six Races is cancelled or declared by the judges no race, the consolation pool shall be distributed to holder(s) of tickets designating the highest number of winners of the Races run. There shall be no participation in the jackpot pool and it shall be carried over.
- (1) <u>Cancellation of Performance:</u> If, for any reason, the performances should be Suspended before the first of the designated Races has begun, the Association shall make a complete refund of the pick six pool under surrender of the pick six tickets purchased that Day.
- (m) <u>Cessation of Wagering:</u> Wagering on the pick-six pool shall cease at off-time of the first Race of the pick-six series.
- (n) <u>Designation of Races:</u> Races which are included in the pick six pool shall be approved by the Commission. Pick six Races must be clearly designated as such to the wagering

- public in the Program for a performance, and pick-six wagers may only be accepted on those races specifically designated as pick-six Races.
- (o) Hold Tickets: Tickets should be retained until the payoffs have been declared official.
- (p) <u>Denomination of Tickets:</u> Pick-six tickets shall be sold only from machines capable of issuing six Program numbers, and only in denominations approved by the Commission which shall not be less than \$2.00 per combination.
- (q) <u>Manner of Placing Wagers:</u> Pick six tickets may be purchased either by authorized slip betting or by oral purchase, providing that such slip betting form shall be authorized by the Commission.
- (r) <u>Nondisclosure:</u> Notwithstanding any other section of these regulations, no person shall disclose the number of pick six tickets sold or the number or amount of tickets selecting winners in the pick-six races until the last Race of the pick-six series is declared official.
- (s) The Totalizator Company shall program its computer equipment so that no information regarding winners in the pick six matches shall be available on printouts until the culmination of the sixth pick six match. If for any reason the computer manager deems such information necessary for any reason, any intrusion into the system shall be viewed by a representative of management and the State Racing Commission.
- (t) <u>Dead Heats:</u> In the event of a dead heat for win in any pick-six Race, all Greyhounds so dead heated shall be considered as the winner
- (u) <u>Minimum Entries:</u> There shall be at least eight (8) Greyhounds entered in each pick-six, each Greyhound representing a separate interest.
- (v) <u>Refund:</u> Should no pick six ticket be sold designating any of the winners of the six designated pick-six Races, the Association shall make a complete refund of the pick-six pool wagered on that performance upon surrender of pick-six tickets purchased.
- (w) All Greyhounds: In a pick six wagering Races, the first three finishers and the last placed Greyhound, as well as, at least one other Greyhound selected at random, shall be the subject of urinalysis examination which shall be conducted by State Regulatory Inspectors and the Department of Toxicology.

Section 43 Twin Trifecta Wagering

- A. <u>Rules Governing</u>. At Greyhound Tracks which have permission to use Twin Trifecta pools, the Rules of said section shall govern the system.
- B. <u>Permitted:</u> Twin Trifecta wagering shall only be permitted in accordance with authorization from the State Racing and Athletics Commission. The Association may hold only one Twin Trifecta in a single performance.

- C. Rules prominently displayed: The rules for Twin Trifecta shall be prominently displayed within the racing facility and printed in all Programs.
- D. <u>Definition:</u> The Twin Trifecta is a form of pari mutuel wagering in which the bettor selects the three Greyhounds that will finish first, second, and third in the exact order as officially posted in each of the two (2) Races designated and advertised as Twin Trifecta Races.
- E. <u>Separate Pool</u>: The Twin Trifecta has no connection with any other pool. All tickets will be calculated in an entirely separate pool.
- F. <u>Program Numbers:</u> Selections are made by Program numbers only. These represent the Post Position of the Greyhounds.
- G. Method of Wagering; calculation and distribution of pool.
 - 1. Each bettor purchasing a Twin Trifecta ticket shall designate his three (3) selections as the first three (3) Greyhounds to finish in that order in the first of two (2) designated Twin Trifecta Races.
 - 2. After the wagering closes for the first Race of the Twin Trifecta, the net pool shall be divided in half, one half representing the consolation pool and the other half added to the jackpot pool (except as hereinafter provided).
 - 3. The consolation pool will be distributed in the same manner as an ordinary trifecta to holders of the Twin Trifecta tickets selecting the first three Greyhounds in order in the first designated Twin Trifecta Race.
 - 4. In the first half of the Twin Trifecta only, if there is a failure to select, in order, the first three Greyhounds, pay-off of only the consolation pool shall be made on Twin Trifecta tickets selecting the first two (2) Greyhound, in order. If there is a failure to select, in order, the first two (2) Greyhounds, pay off of only the consolation pool shall be made on Twin Trifecta tickets selecting the winner to win. No exchange tickets shall be issued if there is a failure to select the first three (3) Greyhounds, in order of finish. Failure to select the winner to win shall cause a refund of all Twin Trifecta tickets.
 - 5. After the official Declaration of the first three Greyhounds to finish in the first Race of the Twin Trifecta, each bettor holding a winning ticket must, prior to the running of the second Twin Trifecta Race, exchange such winning tickets for both the monetary value established by the mutuels department and a Twin Trifecta exchange ticket at the specified windows and at such time shall select the three (3) Greyhounds in the exact order of finish in the second Race of the Twin Trifecta. No further money shall be required of the holders of the winning tickets in order to make the exchange.

- 6. No Twin Trifecta exchange ticket upon the second Race shall be issued except upon the surrender of the Twin Trifecta ticket from the first Race as described in these Rules. Twin Trifecta windows for the purpose of cashing and exchanging winning tickets shall be open only after the first Race has been declared official and such windows shall close at the start of the second Race of the Twin Trifecta Races.
- 7. If a winning Twin Trifecta ticket from the first Race is not presented for cashing and exchange within the time provided, the bettor may still collect monetary value attached to the ticket, but Forfeits all rights to any participation in the jackpot pool.
- No Person shall disclose the number of winning Twin Trifecta tickets eligible for exbhange in the second Twin Trifecta Race, nor shall any person disclose any information regarding those tickets during the exchange process until the Race terminating the Twin Trifecta has been declared official.
- 8. The jackpot pool as described under subdivision (2) shall be distributed in the same manner as an ordinary trifecta to the holders of Twin Trifecta exchange tickets selecting the first three Greyhounds in order in the second designated Twin Trifecta Race.
- 9. In the event no Twin Trifecta ticket is issued selecting the officially declared first three finishers of the second Twin Trifecta Race in the exact order of finish, the jackpot pool as described under subdivision (2) of this subsection shall be carried over to the next consecutive Twin Trifecta performance and combined with that performance's jackpot pool until any of the following occur:
 - (a) A Twin Trifecta ticket is issued designating in the exact order of finish the first three officially declared finishers of a second Twin Trifecta Race.
- (b) The maximum amount permitted to accumulate in the Twin Trifecta jackpot pool pursuant to subsection (h) below is reached during a performance in which no one holds a winning ticket for the second trifecta Race. When this occurs the value of the jackpot pool reached during that performance will be carried over from performance to performance until a Twin Trifecta ticket is issued designating in the exact order of finish the first three (3) officially declared finishers of the second Twin Trifecta Race. While this maximum jackpot pool is being carried over, the consolation pool shall be awarded as under subdivision (2) above of this subsection, but there shall be two optional methods of distributing the share of net wagers ordinarily increasing the jackpot pool:

(i) Option 1

Total net Twin Trifecta wagers ordinarily increasing the jackpot pool are awarded equally to holders of exchange tickets on the second Race of the Twin Trifecta races until there is either

a winner(s) on the second Twin Trifecta Race or until the last performance of the last Meeting in any calendar year (see subparagraph (c) below).

If no exchange tickets can be issued, the performance's jackpot pool shall be distributed to the winners of the consolation pool as defined in subsection above.

(ii) Option 2

The share of total wagers ordinarily increasing the jackpot pool shall be placed in a seed pool. This pool shall accumulate until:

- a. A winning Twin Trifecta ticket on the second Race is issued.
- b. The seed pool exceeds the maximum allowed for the jackpot pool,
- c. The seed pool has been incremented for nine (9) performances, or
- d. The last performance of the last Meeting in a calendar year has concluded, unless otherwise permitted by the Commission, whichever comes first.

Wagering in the last performance of the last Meeting in a calendar year is discussed in subparagraph (c) below.

If a winning Twin Trifecta ticket on the second Race is issued at a time when the seed pool is in existence, the jackpot pool shall be awarded to the Winner(s) and the seed pool shall become the jackpot pool for the next performance.

If the seed pool exceeds the maximum allowed for the jackpot pool, in all subsequent performances up to the tenth without winner(s), the performances jackpot pool shall be awarded to holders of exchange tickets on the second Twin Trifecta Race. If no exchange tickets can be issued, the performance's jackpot pool as defined in subsection (g) (4) above.

If the maximum jackpot pool has been carried over for nine (9) consecutive performances without winner(s), at the tenth performance the jackpot pool must be distributed, either to winner(s) on exhcange tickets or equally to all holders of exchange tickets if there is no winner(s). If no exchange tickets can be issued, the performance's jackpot pool shall be distributed to winners of the consolation pool as defined in subsection (g) (4) above. The seed pool then becomes the jackpot pool for the next subsequent performance with a Twin Trifecta Race.

(c) The last Twin Trifecta Race of the last performance of the last Meeting in a calendar year is concluded, in which case the jackpot pool shall be distributed to holders of Twin Trifecta tickets selecting in exact order, the first three Greyhounds in the second Twin Trifecta race. Notwithstanding subdivision (4) above of this subsection, if there is a failure to select, in order, the first three (3) Greyhounds, pay off shall be made equally to all holders of exchange tickets on that Race. If no exchange tickets can be issued, the performance's jackpot pool shall be distributed to winners of the consolation pool as defined in section (g) (4) above. The term

"Jackpot Pool" as used in the subsection shall be considered to-include the "seed pool" if Option 2 in subparagraph (b) above has been selected.

If no further Twin Trifecta wagers are scheduled or planned to be held at said facility, a special Race shall be held, upon permission from the Commission, and the jackpot, seed pool and net total performance's wagers shall be distributed at said special Race under the provisions of subsection (g) (4) above, except that failure to select the winner shall result in an equal distribution of the jackpot and seed pools and the net total performance's wagers to all ticket holders.

H. <u>Pool Limitation:</u> The Commission shall set a maximum value to which the jackpot pool may accumulate as described under subsection (g) (9) above. Where the maximum value is reached during the wagering on Twin Trifecta Races, such wagering may continue, and the maximum of one half the amount of the net pool wagered in that performance on the Twin Trifecta.

I. Scratched or excused Greyhounds:

- 1. If a Greyhound is Scratched or excused from the first Race of the Twin Trifecta, all Twin Trifecta tickets including that Greyhound shall be deducted from the total net Twin Trifecta pool and the money paid for said tickets refunded upon surrender of the Twin Trifecta tickets.
- 2. If a Greyhound is Scratched in the second Race of the Twin Trifecta races, public address announcements will be made and reasonable time will be given for the exchange of tickets on the Scratched Greyhound. For the second Race of the Twin Trifecta only, all Greyhounds will be considered "official Starters" once the starting box has opened.
- J. <u>Dead Heats:</u> In the event of a dead heat or dead heats in either the first or second Race of the Twin Trifecta, all Twin Trifecta tickets selecting the correct order of finish counting a Greyhound in a dead heat as finishing in any position dead heated shall be winning tickets.

K. Cancellation of Race:

- 1. If the first Race of a Twin Trifecta is cancelled or declared by the judges no Race, the Association shall make a complete refund of the Twin Trifecta pool wagered on that performance upon surrender of the Twin Trifecta tickets purchased.
- 2. If the second Race of a Twin Trifecta is cancelled or declared by the judges no race, after the first Race of the Twin Trifecta has been run, that portion of the jackpot pool attributable to that performance's Twin Trifecta wagering shall be equally distributed to holders of exchange ticket. The cumulative jackpot pool will remain undistributed and be carried over for use in the next Twin Trifecta.
- L. <u>Designation of Races</u>: Races which are to be Twin Trifecta Races shall be approved by the Commission and must be clearly designated to the wagering public as Twin Trifecta Races in

- the program for a performance. Twin Trifecta wagers may only be accepted on those Races specifically designated as Twin Trifecta Races.
- M. <u>Hold Tickets:</u> Tickets should be retained until the payoffs have been declared official.
- N. <u>Denomination of Tickets:</u> Twin Trifecta tickets may be sold only from machines capable of issuing three Program numbers and only in denominations approved by the Commission which shall not be less than \$2.00 per ticket.
- 0. <u>Betting Windows:</u> The Commission shall approve the number of pari-mutuel windows and the location of such windows utilized for Twin Trifecta wagering.
- P. <u>Tickets Non-Transferable:</u> Exchange tickets shall be non-transferable. This rule shall be conspicuously posted at all Twin Trifecta Windows. Holders of transferred exchange tickets shall not be entitled to any winnings. Persons involved in the unauthorized transfer of exchange tickets shall be ejected from the pari-mutuel facility.
- Q. <u>Minimum Entries:</u> There must be at least eight (8) Greyhounds entered in each Twin Trifecta race, provided however, subject to other no Race Rules, a Twin Trifecta Race with no less than sever (7) Starters may be run.
- R. Option Election: The election of jackpot distribution method to be used pursuant to subsection (g) (9) of this section shall be requested of the Commission by written application of the Association no less than thirty (30) Days prior to the initiation of the Twin Trifecta games. Such election may be changed upon written application to the Commission and such change, if approved, shall be effective at such time as the Commission may determine.

Section 44 <u>Double Quiniela</u>

- (a) <u>RULES GOVERNING:</u> At Greyhound tracks which have the double quiniela pool, the rules of this section will govern the system used, upon application made yearly for the use of said rules to the Commission.
- (b) <u>PERMITTED:</u> Double quiniela wagering shall be permitted only in accordance with these regulations.
- (c) <u>RULES PROMINENTLY DISPLAYED:</u> The rules for double quiniela shall be prominently displayed within the facility and a summary printed in the program.

(d) DEFINITION:

1. The quiniela is a contract by the purchaser of a ticket combining two (2)
Greyhounds in a single race, selecting the first two finishers as officially posted in either order, such as 1-2 or 2-1.

- 2. The double quiniela is a form of pari-mutuel wagering. It consists of selecting the quiniela (the first two Greyhounds to finish) of each of two (2) consecutive Greyhound races. Pari-mutuel wagering tickets are to be sold for both of the two (2) consecutive races prior to the first Race of the two (2) consecutive races, without any exchange of tickets between the first and second Race. The division of the pool shall be calculated as in a straight pool, subject to the provisions of these Rules to the contrary
- (e) NOT A PARLAY: The double quiniela is not a "parlay" and has no connection with or relation to any other pool, and is not part of win, place, or show pools, daily double, perfecta, trifecta, quiniela, superfecta or other wagering pool. All tickets will be calculated in an entirely separate pool.
- (f) <u>DENOMINATION OF TICKETS:</u> Double quiniela tickets shall be sold for not less than \$2.00. Resale of such tickets from one individual to another is prohibited and shall be grounds for ejection.
- (g) <u>PURCHASE OF TICKETS:</u> Each bettor purchasing double quiniela tickets shall designate his or her selection in both of the two (2) consecutive Races for which such tickets are sold at the time he or she purchases the double quiniela tickets(s) as the first two (2) Greyhounds, to finish in each of the two (2) consecutive Races.

(h) PAYMENT OF POOL:

- (1) Double Quiniela pool shall be paid to the holders of tickets of winning combinations in both the first and second races of a double quiniela.
- (2) If no double quiniela ticket is sold as a winning combination in both Races, the pool shall be paid to the holders of tickets with the winning combination in the first Race with either the Greyhound finishing first or second in the second Race, in the same manner in which a place pool is calculated and distributed.
- (3) If no double quiniela ticket is sold as a winning combination in the first Race of the double quiniela, the entire double quiniela pool will be paid to the holders of tickets with the winning combination in the second Race at the double quiniela.
- (4) If no double quiniela ticket is issued on the winning combination in the second Race of the double quiniela, the net pool shall be distributed to holders of tickets including the Greyhound finishing first and holders of tickets including the Greyhound finishing second in the same manner in which a place pool is calculated and distributed.
- (5) If a double quiniela ticket combines only one (1) of the two (2) winners in the second Race and no double quiniela ticket combines the other winner, the entire pool shall be distributed as a straight pool to the holders of those tickets.

- (6) If no ticket includes either the first of second Greyhound of the second half of the double quiniela, the entire net pool shall be distributed as a straight pool to all holders of tickets with winning combinations of the first half.
- (7) If a Greyhound is Scratched in the first of the double quiniela races, all double quiniela tickets sold on the Scratched Greyhound will be refunded.
- (8) If a Greyhound is Scratched in the second Race of the double quiniela, before the running of the first Race of the double quiniela, all double quiniela tickets sold with the Scratched Greyhound will be refunded.
- (9) If a Greyhound is Scratched in the second Race of the double quiniela, after the first Race of the double quiniela has been run, all tickets combining the Scratched Greyhound shall become consolation tickets and shall be paid a price per dollar denomination calculated as follows: The net double quiniela pool (gross pool less commission) shall be divided by the total purchase price of all tickets combining the winners of the first Race of the double quiniela. The quotient thus obtained shall be the price to be paid to holders of tickets combining the Scratched Greyhound in the second Race of the double quiniela. The entire consolation pool (number of eligible tickets times the consolation price) plus the breakage shall be deducted from the double quiniela pool.
- (10) In the event of a dead heat for place in the first Race of the double quiniela races, all double quiniela tickets combining the first Greyhound and either of the Place Greyhounds shall be considered eligible for participation in the second Race of the double quiniela.
- (11) In the event of a dead heat for place in the second Race of the double quiniela races, the double quiniela pool shall be divided, calculated and distributed as a Place pool to the holders of double quiniela tickets combining the first Greyhound and either of the Place Greyhounds in the second Race. In the event of a dead heat for Place in the second Race and there are not tickets sold on one (1) combination, then the other combination having winning Greyhounds shall be declared the winner.
- (12) If no tickets combine the winning Greyhound in the second Race with either of the Greyhounds in the dead heat for Place, the net double quiniela pool shall be paid to holders of tickets including any of the three win/ place Greyhounds as in a win, place or show pool. However, if any tickets combine both of the Greyhounds in the dead heat for place, the net pool shall be paid to holders of such tickets.
- (13) If there is a dead heat for the winning Greyhounds in either of the two (2) consecutive races for the double quiniela, such calculation of distribution of the double quiniela pool shall be made in the manner in which any ordinary quiniela pool would be made should there be a dead heat for the win despite the number of Greyhounds involved in the dead heat.

(14) In the event that there are no winning combinations in either the first or second Races or if such combination mentioned above as winning combinations are not met, then the entire pool shall be refunded to the holders of double quiniela tickets.

(i) <u>CANCELLATIONS:</u>

- (1) If for any reason the first Race of the double quiniela races is cancelled, declared no Race or otherwise not completed, a full and complete refund shall be made from the double quiniela pool.
- (2) If for any reason the second of the double quiniela races is cancelled, declared no Race or otherwise not completed, the pool shall be calculated as a straight pool and shall be distributed among the holders of tickets combining the first two Greyhounds of the first Race of the double quiniela.

Section 45 Licensed Corporations

- (1) Corporations racing Greyhounds in Rhode Island shall furnish the following information:
- (a) The corporation shall furnish to the Division and the Judges a statement giving the names of all persons connected with the corporation including officers, directors and stockholders.
- (b) The Corporation shall furnish to the Division and Judges a certificate stating no person or persons connected with the corporation (officer, director or stockholder) have any beneficial interest in any Greyhound or Greyhound running in their name or the name of any person or persons racing at the same track where the corporation-owned Greyhound or Greyhounds are running, unless full disclosure of additional Ownership interest is made to the Division personnel in writing.
- (c) The corporation shall designate to the Division and the Judges the name of one individual preferably an officer, who shall act as agent for the corporation.
- (2) All persons listed in the corporation shall be liable for entry fees and obligations against Greyhounds raced by the corporation.
- (3) In the event that one of the persons listed in the corporation is Suspended all Greyhounds owned by the corporation shall be Suspended, and/or Ruled Off.
- (4) Each of the persons holding a beneficial interest in the corporation shall be in good standing in racing.
- (5) Each of the persons holding a beneficial interest in the corporation shall be licensed as Owners.

- (6) No license as an Owner shall be granted to a corporation or the Lessees of any corporation unless such corporation shall have no more than ten (10) stockholders or members each whom shall be registered and beneficial Owner of stock or membership in such corporation; nor shall any corporation having more than ten (10) such stockholders have the power to lease for racing purposes to any natural person or persons or partnership any Greyhound owned or controlled by it. Each stockholder must file an application for an Owners' license.
- (7) The officers and/or directors of a corporation which leases Greyhounds for racing purposes in the state of Rhode Island and also all such corporations shall make and file with the Division as and when requested by it, a report or reports containing such information as the Division may specify; and upon refusal or failure to file such report or reports the Division may revoke any such license which it may have granted.

Section 46 Pari-Mutuel Totalizator Controls & Reporting

All totalizator companies who are under contract with licensed Associations and who are applying for licenses by this Division shall submit a full and complete contract, executed by the licensed Association and the totalizator company, and they shall enumerate for the Division all types of its equipment to be used on the licensed premises, including the total number of ticket issuing machines, types and configurations of computer equipment and a schematic drawing of all transmission lines within said licensed premises. Any additions or subtractions regarding said equipment and transmission lines shall be reported by any licensed totalizator company immediately upon the request for such change by either the licensed Association, or licensed totalizator company.

(A) Requirements and control.

- (1) System. The totalizator equipment selected for use shall automatically register the wagers made on each Program number by pool wager location and performance and print and issue a ticket representing each such wager. Such system shall ensure that all pari-mutuel tickets sold contain the same window and wager information as entered in the computer memory files. Such system must also have the capability of accounting for the aggregated wagering for each type of pool and determining odds and calculating pay offs by Program number.
- (2) <u>Internal Control</u>. The Association and totalizator license shall maintain a system of internal control subject to the approval of the Division to monitor and restrict data access and provide property control over on site hardware. Prior to the initial meet in a calendar year, the Association and totalizator Licensee shall submit to the Division a plan of control to include the types of control in place and the procedures for the detection of unauthorized data access and misuse of wagering information and hardware. Revisions to the plan of control shall be reported to the Division as they are initiated.
- (3) <u>Reports.</u> The Association and totalizator Licensee shall submit to the Division a listing of all reports, analyses, or summaries which the totalizator equipment

being utilized is capable of generating both "on-line" and "off-line" and shall provide the Division with a listing of individuals who have access to such on-line printouts, and number of copies, the date or time, and proposed usage of such print outs. In addition, a log shall be maintained for off-line print outs or information detailing the time, date, type of report, number of copies, distribution of copies, and the names of individuals requesting such print out. In addition, a log shall be maintained for off-line print-outs or information detailing the time, date, type of report, number of copies, distribution of copies, and the names of individuals requesting such print out. The extraction of any and all information from the system shall be restricted to the Association, totalizator, and Division personnel all of whom will have been previously authorized by the Division. It shall be the totalizator Licensee's responsibility to ensure that a record is maintained and the Division is informed of all date access. Line printer generated reports for ticket issuing machines (TIM) and console logs shall be made available to the Division upon request or as designated.

- (4) Testing. Not less than twenty four (24) hours in advance of the initial meet or prior to any modification of the system, the totalizator equipment to be utilized has been tested and is operating correctly. Such testing procedure shall be employed unless the totalizator company, in writing, requests and receives a waiver of said procedures because of a stated emergency. The Association and totalizator Licensee shall make the system accessible and available to the Division for adequate testing prior to the opening each Day of wagering and prior to each scheduled performance during a meet. Said initial test and certification thereof shall be made in manner as directed by the Division and shall be conducted to determine and ensure the proper functioning and operation of the equipment and/or systems utilized. In addition to the said provisions, any malfunction and the procedures established to prevent recurrence thereof shall be reported to the division at the time of occurrence and prior to each performance until such malfunction is corrected.
- (5) <u>Inventory</u>. Prior to the opening of each meet, the totalizator Licensee shall provide the Division with an inventory listing by location within the facility of its TIM's and hardware including tapes, discs, or other storage media. Any changes in inventory (either in number or location) of TIM's or consoles shall be reported to the Division immediately with an explanation of such change.
- (6) Advance wagering. Every Association permitted to conduct advance wagering of any type shall, at the conclusion of each type of advance wagering Program, and prior to the start and at the end of each performance, prepare and submit to the Division a report of wagering by each performance of the amounts wagered by race, pool, and Program number. A report showing each location's sales, refunds, cashes and cancels by TIM's in operation during such advance wagering Program shall also be submitted. The totalizator company may in the alternative provide said information by supplying a sessions and sales report containing the above said information.

- (7) Payout schedule. The Association or totalizator Licensee shall prepare and submit to the Division at the conclusion of each Race a schedule detailing the pool distribution of the pari mutuel handle for the Race just ended and a schedule showing the amounts wagered by pool and Program number per Race for the races yet to be run. Such pool distribution or payout schedule by Program number by pool shall be based upon the stop betting printouts (available prior to the start of the Race referenced) that detail wagering by dollar value by pool per Race and approximate odds by Program number. Adjustments to any information contained in the top batting printout shall be brought to the attention of the Division prior to the payout calculation. The Division shall designate the number and type end-of-performance runs to be made available to the Division.
- (8) <u>Cash transactions</u>. The Association and totalizator Licensee shall provide the division with access to a listing of sellers and cashiers (or combination) to include the employee name, employee number, assignment window for each Race, and a summary of all cash transactions (by Race) while on duty. Such listing shall be available to the division after each Race and at the close of each performance. Futhermore, the Licensee shall provide the Division with a reconciliation of all pari-mutuel cash activities between mutuel employees and the money room bank. Keyboard cash reports for each performance shall be provided the Division by the totalizator company.
- (9) <u>Distribution of handle</u>. The Association and totalizator Licensee shall be responsible for their accounting of the pari mutuel handle for each performance. The Association shall certify the accuracy of the distribution of the handle as represented in summary forms provided by the Division. The State's share (tax plus breakage) of the pari-mutuel handle of a performance shall be paid over to the State in the method or manner directed by the Division.
- (10) Manual computations. The mutuel manager and the Association shall maintain an adequate number of written copies of procedures for manual computation or verification of pay-cuts for the various pools. If any manual computation or verification is used. Except copies of all such records and sheets shall be provided by totalizator and the Association to the Division as soon as possible after each game and prior to the posting of the official payouts.
- (B) Audit Trail Procedure. The totalizator Licensee shall provide any and all assistance required by the Division in assembling and continuing an audit trail procedure to verify the accuracy of computer results and payoff postings. Such assistance will include the providing of history, log, backdate, or disk dump tapes utilized in any given performance, together with providing readable language for each format requested by the Division. In such event, the Division will provide to the totalizator Licensee written assurance regarding the proprietary rights of the Licensee in such software formats.
- (C) Mutuel Ticket Responsibility. The Association and totalizator Licensee shall be

responsible for purchasing those tickets which are entered into pari-mutuel pools through equipment malfunctions, and must provide in the totalizator contract for the recognition and apportionment of this responsibility between them, a copy of which shall be delivered to this Division. Nothing in this prohibition of employee wagering shall preclude the responsibility of the Association or totalizator Licensee to purchase those tickets which are the result of the malfunctions of the ticket issuing machines, and the purchasers of those tickets will be their Owners. Customers will have no claim to parimutuel tickets not issued from at ticket issuing machine.

Section 47 <u>Access, Inspection and Monitoring of Accounting Systems</u>

- (A) The Division or its duly authorized representatives or agents are authorized:
 - (1) To enter upon the premises of any Licensee for the purpose of inspections examining or testing any and all files and books and records and for the purpose of monitoring cashiers, pari mutuel clerks, and other persons handling money or records on said premises.
 - (2) To monitor and test the internal control system and all phases of the cash flow, revenue and expenditures including unannounced entry into the money room for eash counts which may be part of said auditing procedure. The Division, its agents or representatives are to be provide with total cooperation and such written information in a timely manner as may be requested from such Licensee. In addition, each Licensee shall make available to the Division all records and information generated from consulting with or examinations by third party firms which materially impact the financial operation of a facility or Licensee.

Section 48 Superfecta

- (a) <u>RULES GOVERNING</u>: At tracks which have the superfecta pool, the rules of this section will govern the system used.
- (b) <u>PERMITTED</u>: Superfecta wagering shall be permitted only in accordance with the following rules and regulations promulgated by the State Racing and Athletics Commission. There shall be only one superfecta, per performance, unless further approval by the Commission is granted.
- (c) <u>RULES PRINTED IN PROGRAM</u>: The rules for superfecta shall be printed in whole or in part in the daily racing Programs sold to the public within the premises of the racing.
- (d) <u>DEFINITION</u>: The superfecta is a contract by the purchaser of a ticket combining four (4) greyhounds in a single race, selecting the first four (4) finishers in the exact order of finish as officially posted. The purchase price of said ticket shall be \$2.00.

In any game of superfecta wager, there shall be adopted, the "Spectacular Nine" system scoring. Point scoring shall double after first round of play and said play shall continue until and player or team accumulates nine points. This spectacular nine scoring system

shall be applied only in superfecta wager.

- (e) <u>SELECTED BY NUMBERS</u>: Selections are to be made by program numbers.

 Large numbers appearing on the tickets are program numbers of the greyhounds.
- (f) NO EXCHANGE OF TICKETS: There positively shall be no exchange of tickets after the purchaser thereof has left the sales window.
- (g) NOT A PARLAY: The superfecta is not a "parlay" and has no connection with or relation to any other pool, and is no part of the win, place and show pools, daily double, exacta, quiniela, trifecta, or other wagering pool. All tickets will be calculated in an entirely separate pool.
- (h) <u>CALCULATION AND DISTRIBUTION OF POOLS</u>: The superfecta shall be calculated and distributed as follows: the net pool divided by the amount wagered on the winning combinations determines the payoff per dollar bet, including profit and wager.
- (i) <u>IF LESS THAN FOUR GREYHOUNDS FINISH</u>: If only three (3) Greyhounds finish, payoff shall be made on tickets selecting the actual finishing greyhounds in order, ignoring the balance of the selection.
- (j) <u>DETERMINATION OF WINNER, REFUND</u>: If there is a failure to select, in order, the first four (4) Greyhounds, payoff shall be made on superfecta tickets selecting the first three (3) Greyhounds, in order; failure to select the first three (3) Greyhounds, payoff to superfecta tickets selecting the first two (2) Greyhounds, in order; failure to select the first two (2) Greyhounds, payoff to superfecta tickets selecting the winner to win; failure to select the winner to win shall cause a refund of all superfecta tickets.
- (k) <u>SCRATCHED OR EXCUSED GREYHOUNDS</u>: Should any Greyhound entered in superfecta race be Scratched or excused by the judges after wagering has commenced, or should any Greyhound be prevented form racing because of the failure of the starting box to open, all tickets including such Greyhound or Greyhounds shall be deducted from the superfecta pool and money refunded to the purchasers of tickets on the Greyhound or Greyhounds so excused or prevented from racing.
- (1) <u>DEAD HEATS</u>: In the event of a dead heat or dead heats, all tickets selecting the correct order of finish, counting a runner in a dead heat as finishing in either position dead heated, shall be winning tickets, and distribution shall be made in accordance with established pari-mutuel practice relative to dead heats.
- (m) <u>DESIGN OF TICKETS</u>: The design of superfecta tickets shall be clearly and immediately distinguishable from other pari-mutuel tickets.
- (n) <u>DENOMINATION AND MACHINES</u>: Superfecta tickets shall be sold only in denominations approved by the Commission and only from machines capable of issuing four numbers.

- (o) <u>HOLD TICKETS</u>: Tickets should be retained until the results have been declared official.
- (p) <u>PERMITTED SALES</u>: Sale of superfecta tickets other than through pari-mutuel machines shall be deemed illegal and is prohibited.

Section 49 Super-Seven

- (a) <u>RULES GOVERNING</u>: At tracks which have the super seven seven pool, the rules of this section will govern the system used.
- (b) <u>PERMITTED</u>: In accordance with this section, an Association may not hold more than one (1) super seven in a single performance.
- (c) <u>RULES PROMINENTLY DISPLAYED</u>: Rules for the super-seven shall be prominently displayed within the facility and pertinent portions thereof shall be printed in all Programs.
- (d) <u>DEFINITION</u>: The super seven is a form of pari mutuel wagering in which the purchaser of a ticket selects in order the winners of seven (7) consecutive Races designated and advertised as a super-seven Race.
- (e) <u>SEPARATE POOL</u>: The super-seven has no connection with any other pool. All tickets will be calculated in an entirely separate pool.
- (f) <u>PROGRAM NUMBERS</u>: Selections are made by <u>Program numbers only</u>. These represent the <u>Post Positions of the Greyhounds</u>.
- (g) <u>SCRATCHED OR EXCUSED GREYHOUNDS</u>: In the event a Greyhound has been Scratched from the super seven races between the time a customer has placed their super-seven wager, and off time for the first race of the super-seven Races, the first Race of the super-seven will be delayed until such time as the customer has had the opportunity to void their tickets with the Scratched Greyhound and make new selection.
- (h) <u>LATE SCRATCHED GREYHOUNDS</u>: In the event of a late Scratch after cessation of wagering on the super-seven for that performance, as per Judges' orders, and the Scratched Greyhound has been selected to win on super-seven tickets, it may in some cases cause a consolation payoff to those persons having the Scratched Greyhound amongst their selections. One half of the amount representing the purchase price of the ticket with the Scratched Greyhound shall be deducted from each of the super-seven pools. All of the deducted monies shall then be put into a net consolation pool. If the withdrawn tickets with the Scratched greyhound do not qualify for the super-seven consolation pool, they may qualify for the late "Scratched consolation pool" which will be paid to those ticket holder(s) selecting the most winners and the Scratched Greyhound.

Example: On a \$2.00 straight ticket which includes a late Scratch racer as one of the

Race selections, one dollar shall be deducted from the super-seven pool and the other dollar deducted from jackpot pool, thereby creating a "Scratched consolation pool."

(i) CALCULATION AND DISTRIBUTION OF A POOL

- 1. The net super-seven pool for a performance shall be divided in half, one half representing the consolation pool and the other half added to the jackpot pool.
- 2. The combined consolation and jackpot pools shall be paid to the purchasers of tickets designating all seven (7) winners in the super seven.
- 3. Should no super-seven tickets be sold in a pool designating all seven (7) winners, the consolation pool shall be distributed to the holder(s) of tickets designating the highest number of winners, and the jackpot pool shall be carried over from super-seven performance to super-seven
 - (A) A super-seven ticket is sold designating all seven winners in a super-seven pool.
 - (B) The maximum amount permitted to accumulate in a super-seven pool pursuant to subsection (i) is reached during a performance in which no tickets listing the winners has been issued. When this occurs the value of the jackpot pool reached during that performance will be carried over from performance to performance until either a winning super-seven ticket (Naming all seven winner) has been issued or until the last performance of the last Meeting in any calendar year has been completed (see section below) or as outline in subparagraph C below. While this maximum jackpot pool is being carried over, the consolation pool shall continue to be awarded as above.
 - (C) When the maximum jackpot pool, as established by paragraph J is reached the share of total wagers ordinarily increasing the jackpot pool, shall be placed in seed pool. This pool shall accumulate until:
 - 1. A winning super-seven ticket designating all seven super-seven winners is sold on the established jackpot pool.
 - 2. The seed pool exceeds \$50,000.00
 - 3. When the seed pool exceeds \$50,000.00, the jackpot pool will be given away within the next nine (9) performances as designated by the Licensee in the following manner.
 - (a) To the holders of a winning super-seven ticket designating all seven super-seven winners.
 - (b) In the event that there are no winning tickets as established in the above, then to holder(s) of tickets designating the highest number of winners in the

super-seven Races.

- (c) When the original jackpot pool is being forced out (after the seed pool exceeds \$50,000.00 and on the performance designated by the licensee) the jackpot and all monies wagered in the super-seven that day will be paid out in accordance with (a) and (b) above.
- (d) When the seed pool exceed \$50,000.00 all monies wagered in the superseven during the period until the designated performance forcing the jackpot pool out will be paid and/or added to the seed pool in accordance with (i) 1, 2 and 3, above.
- 4. When the jackpot pool has been hit or given away, the seed pool shall become the new jackpot pool.
- (D) If the jackpot pool should be his while a seed pool is being accumulated, the net performance super-seven pool shall be added to the jackpot pool and will be distributed to holders of tickets designating all seven (7) winners.
- (E) If the jackpot pool should be given away for any reason the net performances superseven pool shall be added to the jackpot pool and will be distributed to the holders of tickets designating the highest number of winners.
 - If no further super-seven contests are scheduled or planned to be held at said facility, a special performance shall be held upon permission from the Director of Pari-Mutuel Wagering and the carried over jackpot and seed pools, if any, and the net total performance's super-seven wagers shall be distributed to the holders of tickets designating the highest number of winners.
- (j) <u>POOL LIMITATIONS</u>: The Commission shall set a maximum value of \$100,000.00 to which the jackpot pool may accumulate. Where this maximum value is reached during the wagering on a super-seven performance, wagering on that performance may continue, but the maximum value set by the Commission may be exceeded by only a maximum of one half the amount wagered on the super-seven after the maximum jackpot value has been attained. Super-seven distribution is then effected as under subsection (i) above.

As an illustration, suppose the Commission set a maximum pool value of \$100,000 an one a particular day the carried-over jackpot pool is equal to \$90,000. Net wagering on the super seven that day amounts to \$40,000.00. The jackpot pool is then \$110,000.00 and the consolation pool is \$20,000.00. If no one picks all seven (7) winners of the super seven races, then the \$110,000.00 jackpot is carried over. At the next performance suppose a net of \$40,000.00 is again wagered on the super-seven in this event, the jackpot pool will remain at \$110,000.00. If no one picks all seven (7) winners, \$20,000.00 will be distributed as a consolation pool to the holders of tickets designating the highest number of winners and the remaining \$20,000.00 of the net pool will go into a seed pool.

(k) CANCELLATION OF RACES:

- 1. If three (3) or more of the designated super seven Races are cancelled or declared not Race, by the Judges, the Association shall make a complete refund of the super seven pool upon surrender of the super seven tickets purchased.
- 2. If one (1) or two (2) Races of the designated Super-Seven races is cancelled or declared no race by the judges, the entire amount wagered in the Super-Seven for that performance shall be distributed to the holders of the tickets designating the highest number of winners of the Races run. There shall be no participation in the jackpot pool, and it shall be carried over.
- (1) CANCELLATION OF PERFORMANCE: If for any reason, the performance should be Suspended before the first of the designated Races has begun, the Association shall make a complete refund of the super seven pool upon surrender of the super seven tickets purchased that day.
- (m) <u>CESSATION OF VAGERING</u>: Wagering on the super-seven pool shall cease at off-time of the first Race of the super-seven series.
- (n) <u>DESIGNATION OF RACES</u>: Races which are included in the super-seven pool shall be approved by the Director of Pari-Mutuel Wagering. Super-seven Races must be clearly designated as such to the wagering public in the program for each performance, and super-seven wagers may only be accepted on those races specifically designated as super-seven Races.
- (o) <u>HOLD TICKETS</u>: Tickets should be retained until the payoffs have been declared official.
- (p) <u>DENOMINATION OF TICKETS</u>: Super seven tickets shall be sold only from machines capable of using seven (7) Program numbers, and only in denominations approved by the Commission which shall not be less than \$2.00 per combination.
- (q) <u>MANNER OF PLACING WAGERS</u>: Super-seven tickets may be purchased either by authorized betting slips or by oral purchase, providing that such betting slip forms shall be authorized by the Commission.
- (r) NONDISCLOSURE: Notwithstanding any other section of these regulations, no person shall disclose the number of super-seven tickets sold or the number or amount of tickets selecting winners in the super-seven Races until the last race of the super-seven series is declared official.
- (s) The Totalizator Company shall program its computer equipment so that no information regarding winners in the super-seven races shall be available on printout until the culmination of the seventh super-seven Race. If for any reason the computer manager deems such information necessary for any reason, any intrusion into the system shall be viewed by a representative of management and the State Racing Commission.

- (t) <u>DEAD HEATS</u>: In the event of a dead heat for a win in any super-seven Race, all Greyhounds so dead heated shall be considered as the winner.
- (u) MINIMUM ENTRIES: There shall be at least (8) Greyhounds entered in each Super Seven Race. There shall be at least six (6) Greyhounds from completely different kennels in each Super 7 Race. When the Jackpot pool reaches the maximum of \$100,000 all eight (8) Greyhounds shall represent completely different kennels.
- (v) <u>REFUND</u>: Should no super-seven ticket be sold designating any of the winner of the seven designated super-seven races, the Association shall make a complete refund of the super-seven pool wagered on that performance upon surrender of the super-seven tickets purchased.
- (w) <u>ALL GREYHOUNDS</u>: All Greyhounds which are entered into any Race comprising superseven wagering shall be subject to chemical testing by virtue of urinalysis examination which shall be conducted by State Regulatory personnel with the same restrictions as contained in Rules stated herefore.
- (x) In the event a super seven ticket designates as a selection to win in any one (1) or more of the Races comprising the super-seven, a single wagering interest which is Scratched, excused or determined by the Judges to be a non Starter in the Race, after cessation of the wagering on the super-seven for that performance; the actual favorite, as evidenced by the amounts wagered in the win pool at the time of the start, shall be substituted for the non-starting selection for all purposes, including pool calculations and payoffs; provided further that in event that the win pool for two (2) or more favorites is identical, the selection in the lowest Program position shall be substituted for the non-starting selection.

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