

DBR proposes the following substantive amendments to 20-45-1 insurance holding company system:

page	§	proposed amendment
1	1.1 Authority	reformat <sup>1</sup>
1	1.2 Purpose	reformat
1	1.3 Severability Clause	reformat
1	1.4 Forms – General Requirements	(A) edit §§
2		(C) edit §§
2	1.5 Forms – Incorporation by Reference, Summaries and Omissions	(A) edit §§
3	1.6 Forms – Information Unavailable and Extension of Time to Furnish	no amendment
3	1.7 Forms – Additional Information and Exhibits	edit §§
3	1.8 Definitions	no amendment
4	1.9 Subsidiaries of Domestic Insurers	reformat
4	1.10 Acquisition of Control – Statement Filing (Form A)	reformat, edit §§
4	1.11 Amendments to Form A	reformat, edit §§
4	1.12 Acquisition of Section 27-35-2(a)1 Insurers	no amendment
4	1.13 Pre-Acquisition Notification	(B) edit §§
5		(C) edit §§
5	1.14 Annual Registration of Insurers – Statement Filing	reformat, edit §§
5	1.15 Summary of Registration – Statement	reformat, edit §§
5	1.16 Amendments to Form B	(A) edit §§
5	1.17 Alternative and Consolidated Registrations	(A) edit §§
5		(A)(1) edit §§
6		(B) edit §§
6	1.18 Disclaimers and Termination of Registration	no amendment

<sup>1</sup> reformat = reformatting to match updated Secretary of State format

6	1.19 Transactions Subject to Prior Notice – Notice Filing	(A) edit §§
7		(B)(7)a,b,c add language from NAIC Model 450
7		(B)(10) add language from NAIC Model 450
7/8		(B)(11) delete original language and add (B)(11)a,b,c,d language from NAIC Model 450
8		(B)(12) replace language with statutory citation
8		(B)(13) delete original language and add language from NAIC Model 450
9		(B)(14) add language from NAIC Model 450
9		(B)(15) add language from NAIC Model 450
9	1.20 Enterprise Risk Report	edit §§
9-12	1.21 Group Capital Calculations	add language from NAIC Model 450
12	1.22 Extraordinary Dividends and Other Distributions	§ renumbered
13		(B) edit §§
13	1.23 Adequacy of Surplus	§ renumbered
14	1.24 Form A	§ renumbered
19	1.25 Form B	§ renumbered
25	1.26 Form C	§ renumbered
27	1.27 Form D	§ renumbered
32	1.28 Form E	§ renumbered
34	1.29 Form F	§ renumbered