

870-RICR-10-00-3

TITLE 870 – RHODE ISLAND COMMERCE CORPORATION

CHAPTER 10 – GENERAL

SUBCHAPTER 00 – N/A

PART 3 – Declaratory Order Petitions

3.1 Purpose

This regulation states the requirements for submitting a request for Declaratory Order under R.I. Gen. Laws § 42-35-8(b), and the procedure for its consideration and prompt disposition.

3.2 Authority

This regulation is promulgated pursuant to the authority granted in R.I. Gen. Laws § 42-35-8.

3.3 Definitions

A. The following definitions shall apply to this regulation:

1. "Declaratory order" means an order issued by the Corporation that:
 - a. Interprets or applies a statute administered by the Corporation;
 - b. Clarifies whether a rule, guidance document, or order issued by the Corporation applies to a Petitioner; or
 - c. Clarifies how a rule, guidance document, or order issued by the Corporation applies to a Petitioner.
2. "Corporation" means Rhode Island Commerce Corporation
3. "Petition" means a request for a Declaratory Order.
4. "Petitioner" means a person requesting a Declaratory Order.

3.4 Request for Declaratory Order: Form and Submission

A. A request for Declaratory Order must be in writing and include the following information:

1. The name and address of the Petitioner;
 2. A plain statement identifying the statute, rule, guidance document, or order at issue;
 3. A detailed statement of all facts relied upon by the Petitioner;
 4. A copy of any and all documents relied upon by Petitioner; and
 5. A plain statement requesting a Declaratory Order, and further indicating whether Petitioner seeks:
 - a. An interpretation or application of a statute administered by the Corporation;
 - b. Clarification as to whether a rule, guidance document, or order issued by the Corporation applies to Petitioner; and/or
 - c. Clarification as to how a rule, guidance document, or order issued by the Corporation applies to Petitioner.
- B. A request for a Declaratory Order must be submitted to: Rhode Island Commerce Corporation, Attn: Rules Coordinator, 315 Iron Horse Way, Suite 101, Providence, RI 02908 via mail, overnight carrier or in hand delivery to a representative of the Corporation at the foregoing address, with a copy sent via email to rulescoordinator@commerceri.com.

3.5 Consideration and Disposition of Request for Declaratory Order

- A. The Corporation shall promptly consider and respond to the request for Declaratory Order as provided in R.I. Gen. Laws § 42-35-8(c).
1. Issue a declaratory order in response to the Petition. If the Corporation issues a Declaratory Order, the Corporation shall include the names of all parties to the proceeding, the facts on which it is based, and the reasons for the Corporation's conclusion in the order. The Corporation may redact confidential information in the order;
 2. Decline to issue a declaratory order. If the Corporation declines to issue a Declaratory Order, the Corporation shall promptly notify the Petitioner in writing of the decision and include a brief statement of the reasons for declining; or
 3. Schedule the matter for further consideration. Should the Corporation schedule the matter for further consideration, the Corporation shall notify

Petitioner in writing of the anticipated date on which the Corporation will grant or deny the request for Declaratory Order.

- B. The Corporation may, at its discretion:
 - 1. Hold a public hearing for further consideration and discussion on the Petition; or
 - 2. Request further information or documents from the Petitioner necessary for the full evaluation of his or her petition.
- C. A Petitioner may appeal the Corporation's final disposition of the Petition as provided in R.I. Gen. Laws § 42-35-15.

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Agency Signature

Agency Head Signature

Agency Signing Date

Department of State

Regulation Effective Date

Department of State Initials

Department of State Date